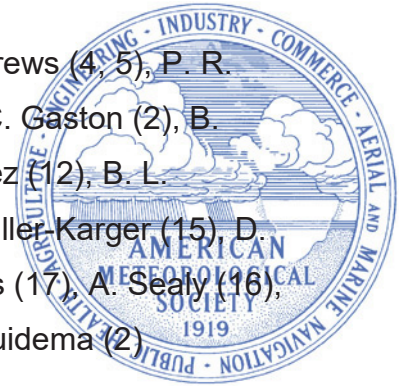


# **“Godzilla”, the extreme African dust event of June 2020: Origins, Transport, and Impact on Air Quality in the Greater Caribbean Basin**

O. L. Mayol-Bracero (1\*), J. M. Prospero (2), B. Sarangi (3), E. Andrews (4, 5), P. R. Colarco (6), E. Cuevas (7), L. Di Girolamo (8), R. D. Garcia (7, 9), C. Gaston (2), B. Holben (6), L. A. Ladino (10), P. León (3), R. Losno (11), O. Martínez (12), B. L. Martínez-Huertas (3), P. Méndez-Lázaro (13), J. Molinie (14), F. Muller-Karger (15), D. Otis (15), G. Raga (10), A. Reyes (16), J. Rosas Nava (3), D. Rosas (17), A. Sealy (16), I. Serikov (18), D. Tong (19), E. Torres-Delgado (3), H. Yu (6), P. Zuidema (2)



(1) Brookhaven National Laboratory, Upton, New York, USA

(2) Rosenstiel School of Marine, Atmospheric and Earth Science, University of Miami, Miami, FL, USA

(3) Department of Environmental Science, University of Puerto Rico-Rio Piedras Campus, San Juan, Puerto Rico, USA

(4) National Oceanic and Atmospheric Administration/Global Monitoring Laboratory, Boulder, Colorado, USA

(5) Cooperative Institute for Research in Environmental Sciences (CIRES), University of Colorado, Boulder, Colorado, USA

(6) NASA Goddard Space Flight Center, Greenbelt, MD, USA

(7) Izaña Atmospheric Research Center (IARC), State Meteorological Agency of Spain (AEMET), Santa Cruz de Tenerife, Spain

(8) University of Illinois at Urbana-Champaign, Urbana, IL, USA

(9) TRAGSATEC, Madrid, Spain

(10) Instituto de Ciencias de la Atmósfera y Cambio Climático, Universidad Nacional Autónoma de México, México

(11) University of Paris, IPGP, France

(12) NOAA National Weather Service, San Juan Office, Puerto Rico, USA

(13) Environmental Health Department, University of Puerto Rico-Medical Sciences Campus, San Juan, Puerto Rico, USA

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- (14) Laboratoire de Recherche en Geosciences et Energies, Université des Antilles, Guadeloupe, France
- (15) Institute for Marine Remote Sensing, University of South Florida, Tampa, Florida, USA
- (16) Caribbean Institute for Meteorology and Hydrology, St. James, Barbados
- (17) Facultad de Química, Universidad Autónoma de Yucatán, México
- (18) Max Planck Institute for Meteorology, Hamburg, Germany
- (19) George Mason University, Fairfax, VA, USA

*Corresponding author:* Olga L. Mayol-Bracero, [omayol@bnl.gov](mailto:omayol@bnl.gov)

## Abstract

In June 2020, the tropical Atlantic and the Caribbean Basin were affected by a series of African dust outbreaks unprecedented in size and intensity. These events, informally named “Godzilla”, coincided with CALIMA, a large field campaign, offering a rare opportunity to assess the impact of African dust on air quality in the Greater Caribbean Basin. Network measurements of respirable particles (i.e.,  $PM_{10}$  and  $PM_{2.5}$ ) showed that dust significantly degraded regional air quality and increased the risk to public health in the Caribbean, the southern United States, northern South America, and Central America. CALIMA examined the meteorological context of Godzilla dust events over North Africa and how these conditions might relate to the greatly increased dust emissions and enhanced transport to the Americas. Godzilla was linked to strong pressure anomalies over West Africa, resulting in a large-scale geostrophic wind anomaly at 700 hPa over North Africa. We used surface-based and columnar measurements to test the performance of two frequently used aerosol forecast models: the NASA GEOS and WRF-Chem models. The models showed some skills, but differed substantially between their forecasts, suggesting large uncertainties in these forecasts that are critical for issuing early warnings of health-threatening dust events. Our results demonstrate the value of an integrated approach in characterizing the spatial and temporal variability of African dust transport and assessing its impact on regional air quality. Future studies are needed to improve models and to track the long-term changes in dust transport from Africa under a changing climate.

## **Significance Statement.**

Every year, vast quantities of African dust are transported across the Atlantic to the Caribbean Basin. During these events, respirable dust concentrations often exceed the air quality standards established by the United States EPA and the World Health Organization. We discuss the record-breaking June 2020 “Godzilla” dust events in terms of measurements made during a large-scale surface-based field campaign (CALIMA). During CALIMA, we made aerosol measurements at sites throughout the Caribbean Basin. We used satellite and aerosol model products to interpret the data and understand the meteorological processes that affect dust emissions in Africa and the subsequent transport to the Americas. Models could provide advanced warnings of health-threatening dust events, thereby enabling public health officials to issue health risk alerts.

## **Capsule**

African dust events can have an impact on air quality. The extent and intensity of these impacts are best assessed by the regional integration of network measurements coupled with satellite products and models.

## 1. Introduction.

### 1.1 Background

The world's largest and most persistently active dust sources are in North Africa, accounting for 28%–69% of global emissions (Zhao et al. 2022). Dust from the Sahara and Sahel is transported over vast areas of the North Atlantic and the Greater Caribbean Basin (GCB, Robertson and Cramer 2014), including the southeastern United States (Prospero et al. 1987; Gaztambide-Géigel 2004; Prospero and Mayol-Bracero 2013), and northern South America (Prospero et al. 1981; Prospero 1999; Prospero and Mayol-Bracero 2013; Yu et al. 2015a). These particles can affect weather, human health, air quality, and marine and terrestrial ecosystems, and they play an important role in climate (e.g., Kok et al. 2023; Dunion and Velden 2004; Twohy et al. 2009; Evan et al. 2011; Okin et al. 2011; Prospero and Mayol-Bracero 2013; Prospero et al. 2014; West et al. 2016; Zhang et al. 2016, Tong et al. 2023).

Exposure to dust has been associated with many health impacts, such as increased risks of asthma, fungal infections, and premature death (Lwin et al. 2023; Tong et al. 2023). However, the health impact of African dust in the GCB has not been well studied. Some studies suggest that dust impacts asthma rates which are very high in some locations in the GCB (Monteil et al. 2005; Cadelis et al. 2014; Akpınar-Elci et al. 2015). However, such associations were not found in Barbados (Prospero et al. 2008). Puerto Ricans experience the highest prevalence of asthma in the US (Alicea-Alvarez et al. 2014) but the connection to African dust is unclear.

African dust events and their impact on air quality over GCB have been well documented in satellite studies (e.g., Yu et al. 2015a; 2015b; 2019) and ground measurements (Prospero et al. 2014). The satellite borne Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) shows that about 40 million tons of African dust are annually transported to the GCB mostly in the boreal summer. Dust events typically extend from the surface to an altitude of several kilometers as validated by surface-based lidars (e.g., Gutleben and Gross, 2021). Within these air masses, much of the

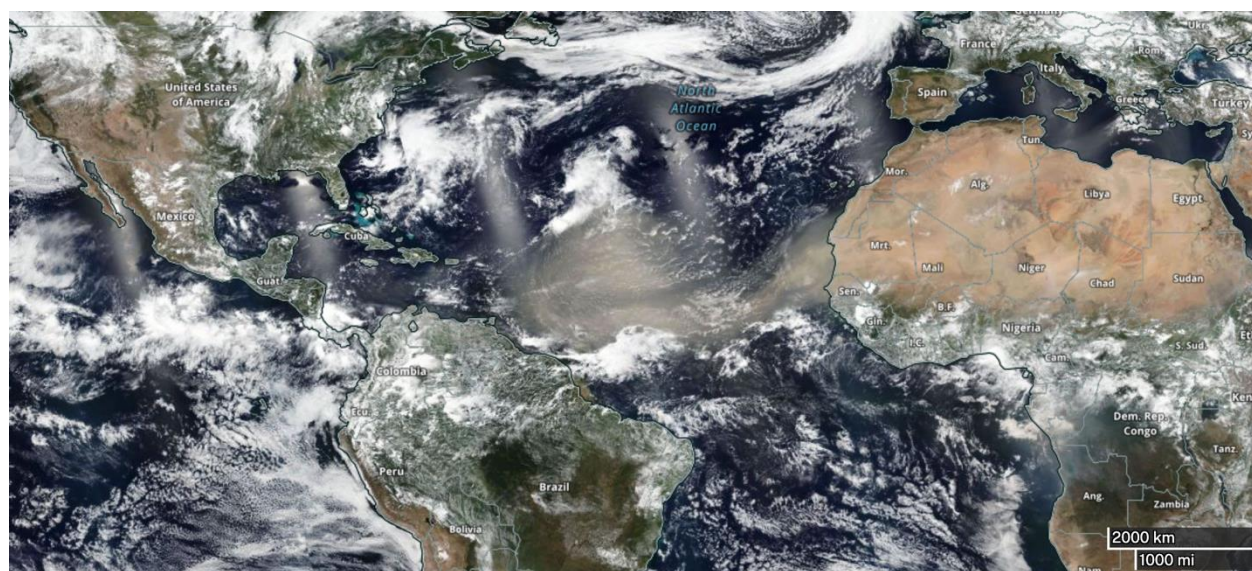
dust is carried in an elevated hot dry layer that has its origins over the Sahara Desert and, which, consequently, is named the Saharan Air Layer (SAL, Carlson and Prospero, 1972; Prospero et al. 2021). About 25% (Prospero and Carlson, 1972) to 40% (Yu et al. 2015a) of the total column dust mass is transported within the lowest 1 km of the atmosphere and is therefore relevant to air quality issues (Yu et al. 2015a). The impact of African dust on air quality is usually assessed based on measurements of surface  $PM_{10}$  and  $PM_{2.5}$  (particulate matter with aerodynamic diameters  $< 10 \mu m$  and  $< 2.5 \mu m$ , respectively).  $PM_{2.5}$  and  $PM_{10}$  are routinely measured as a part of national air quality monitoring including sites in the GCB. At Guadeloupe, Martinique, and Cayenne (French Guiana)  $PM_{10}$  exceeded the World Health Organization (WHO) 24-hour guidelines of  $45 \mu g/m^3$  on 10% of days annually and as high as 20-35% of days during the dust season, typically May through September (Prospero et al. 2014). These exceedance rates are comparable to those due to pollution in large urban areas in Europe and the US (Prospero et al. 2014). Sites in Puerto Rico, Florida, Texas, and Barbados show similar  $PM_{2.5}$  exceedance rates (Prospero et al. 2001; Bozlaker et al. 2013; Prospero et al. 2014; Yu et al. 2021) (See S1 for air quality guidelines).

Numerical models are useful tools for predicting temporal and spatial variations of aerosol events and assisting air quality and health agencies in issuing early warnings. Numerous research and operational modeling systems have provided dust forecasts for early warning over the Americas including the GCB region (Xian et al., 2019; Tong et al. 2023). It is difficult to assess the accuracy of dust forecasting models because of the lack of airborne or in-situ aerosol data. This, in turn, limits our ability to plan for the arrival of dust events. Many studies have highlighted the need for observations to constrain and improve models (e.g., Evan et al. 2014, Kim et al. 2014; Yu et al. 2021; Kok et al. 2023; Tong et al. 2023). The study of intercontinental transport events, as in the case of African dust, is more challenging than the study of the local or regional dust because of the temporal and spatial scale of these events and the fragmented observations in the receptor region. The measurements in CALIMA address many of these issues.



## 1.2 CALIMA

The primary objective of CALIMA (calima: Spanish for “haze”) was to advance our understanding of the impact of African dust on air quality in the GCB. More specifically, CALIMA aims (1) to characterize and quantify the large-scale distribution of African dust in the GCB through measurements of dust concentrations made at sites throughout the region, 2) to use satellite products to visualize the large-scale movement of dust outbreaks from the source areas to the receptor sites, 3) to relate the occurrence and fate of dust outbreaks in terms of synoptic meteorological conditions, and 4) to use these various observations to evaluate dust forecast models, with the ultimate goal of having dust forecast models that are better in predicting the impact of dust events in the air quality of the region. CALIMA began a scheduled intensive field campaign in summer 2020. Fortuitously, the campaign was in progress when the Godzilla dust event occurred in June 2020. Because of the great density, extent, duration and, especially, shape of the dust plume (Fig. 1), one of our coauthors (JMP) named it “Godzilla”, after the fictional monster. To our knowledge, this is the first and only “named” dust storm (see S2 for the Godzilla name history).



**Figure 1.** Dust plumes over the tropical Atlantic and the Greater Caribbean Basin on 20 June 2020 observed by the VIIRS sensor aboard the Suomi-NPP satellite, visualized with NASA WorldView. VIIRS is a polar-orbiting satellite. The coherent bright bars, located at a slight angle from the vertical, are due to the specular reflection of sunlight from the ocean surface; a bar is generated on each overpass.

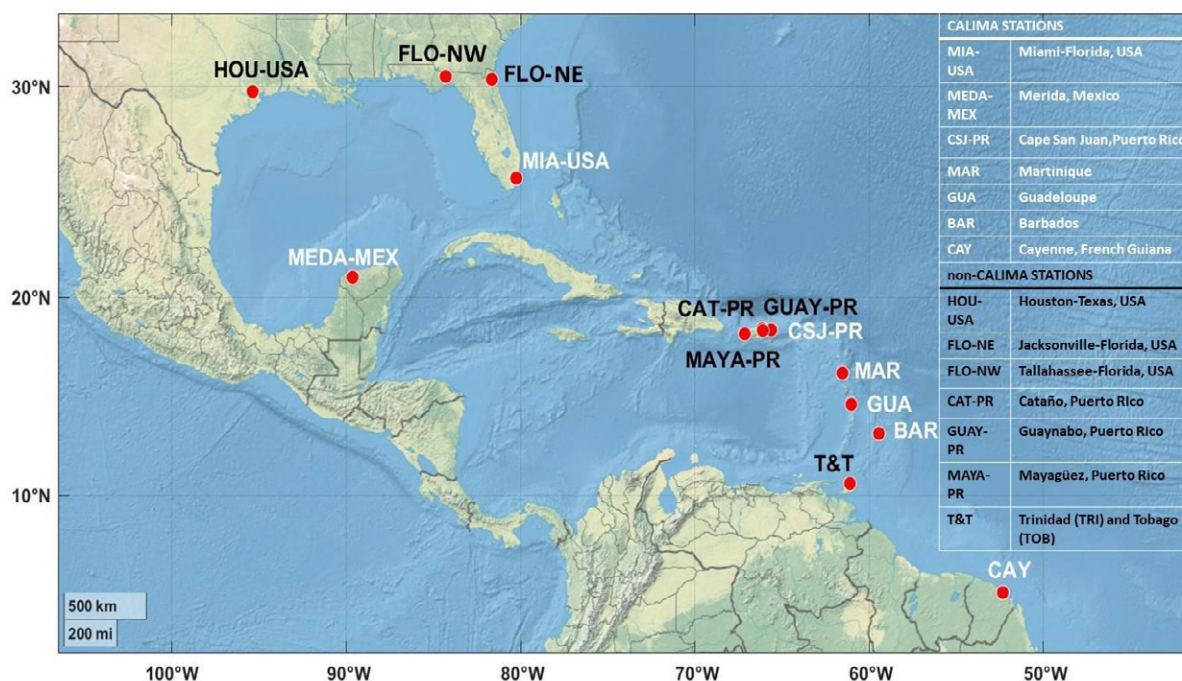
CALIMA undertook an integrated regional approach that involved aerosol ground-based measurements in multiple locations across the GCB coupled with satellite observations and forecast models. Here (1) we use satellite observations and dust forecast models to understand the meteorological context that led to the Godzilla dust event, (2) we compare dust model forecasts with ground-based measurements to assess the impacts of Godzilla on air quality in the GCB, and (3) we put Godzilla in historical context using long-term in-situ measurements at Barbados and Puerto Rico and satellite observations, i.e., the 22-year record of Multi-angle Imaging SpectroRadiometer (MISR) and Moderate Resolution Imaging Spectroradiometer (MODIS) satellite observations.

## **2. Methodology.**

### **2.1 Sampling Locations and Strategy.**

The CALIMA study area extends over the GCB (Fig. 2). The region encompasses a total of 28 countries and ~40 million people (Nkemdirim 1997; Crews and Esposito 2020). We focus on the measurements performed in summer 2020. The main ground-based CALIMA measurements were at Cayenne (CAY), Barbados (BAR), Martinique (MAR), Guadeloupe (GUA), Cape San Juan-Puerto Rico (CSJ-PR), Merida-Mexico (MEDA-MEX), and Miami (MIA-USA) (Fig. 2). We used air quality measurement data from seven additional locations: Trinidad and Tobago (T&T, or TRI and TOB, one station on each island), three more stations in the southern US (Jacksonville (FLO-NE), Tallahassee (FLO-NW), and Houston (HOU-USA)), and three stations from Puerto Rico (Cataño (CAT-PR), Mayagüez (MAYA-PR), and Guaynabo (GUAY-PR)).





**Figure 2.** Map of the Greater Caribbean Basin, defined as encompassing the island nations and Caribbean coastal states, including the southeastern US, central and northern South America, showing the CALIMA 2020 sampling locations: Cayenne, Trinidad and Tobago, Ragged Point - Barbados, Martinique, Guadeloupe, Cape San Juan-Puerto Rico, Cataño-Puerto Rico, Mayagüez-Puerto Rico, Guaynabo-Puerto Rico, Merida-Mexico, Miami, Jacksonville, Tallahassee, and Houston. The names in black are contributing stations but non-CALIMA stations. The map is created using Natural Earth in MATLAB.

The ground-based aerosol measurements (e.g.,  $PM_{10}$  and  $PM_{2.5}$  mass concentrations, light scattering and absorption coefficients, visibility, dust concentrations), column measurements of aerosol optical depth (AOD), satellite observations, and dust forecast models are listed in Table 1 and described below.

(a) Ground-based Observations	(b) Satellite Observations	(c) Forecast Models
<b>Aerosol Mass Concentrations</b> ( $PM_{10}$ , $PM_{2.5}$ )	<b>VIIRS, MODIS and MISR:</b> Aerosol Optical Depth, 550 nm, total and segregated by aerosol size and shape	<b>GEOS: Global model.</b> Dust Surface Concentration and Column Integrated Aerosol Optical Depths, Vertical Profiles of Dust Extinction and Mass Mixing Ratio
<b>Aerosol Optical Depth (AOD) at 500 nm</b>	<b>CALIPSO:</b> Column Optical Depth 532nm and Extinction Coefficient 532 nm	<b>WRF-Chem: Regional model.</b> Dust Surface Concentration and Column Integrated Aerosol

Optical Depth, Surface PM <sub>2.5</sub> and PM <sub>10</sub> concentration	
<b>Aerosol Optical Properties</b> (scattering, absorption, visibility)	<b>SEVIRI:</b> RGB (Red, Green, Blue) composite based upon infrared channels (IR8.7, IR10.8 and IR12.0) data
<b>Aerosol vertical structure</b> - LIDARs	
<b>Meteorological parameters-</b> Temperature, Relative humidity, Wind speed, Wind direction, and Boundary layer height (BLH)	
<b>Dust Concentrations</b> (total suspended particle (TSP))	

**Table 1. CALIMA (a) ground-based measurements, (b) satellite observations, and (c) dust forecast models.**

**Ground Aerosol in-situ Measurements.** The PM<sub>10</sub> and PM<sub>2.5</sub> mass concentrations were measured from 12 ground stations (Fig. 2), Atmo Guyane, Cayenne; Point Lisas, Trinidad; Signal Hill, Tobago; Station Robert-Bourg, Martinique; Université des Antilles, Guadeloupe; Cape San Juan-Puerto Rico; Puerto Rico US-EPA sites (Cataño, Mayagüez and Guaynabo), National Autonomous University of Mexico, Merida, Mexico; Oyster Creek, Texas; and Jacksonville, Florida. At CSJ-PR, an optical particle counter (OPC, MetOne 237B particle counter) was used to determine the PM<sub>10</sub> mass concentrations. PM<sub>10</sub> was computed from the OPC assuming spherical particles, a particle density (2.2 g/cm<sup>3</sup>) and assuming the cut-off diameter of the sampling "head" was 10 µm. The PM<sub>10</sub> is obtained as the integrated mass of all bins below 10 µm. The other stations in Puerto Rico (CAT-PR, GUAY-PR, and MAYA-PR) and Houston used PM<sub>10</sub> high-volume samplers (TISCH Serial No. 3426). GUA, MAR, CAY, and FLO-NE used the tapered element oscillating microbalance (TEOM, Thermo Scientific, Model 1400ab). Trinidad and Tobago used the Teledyne API Model T640. The MEDA-MEX site used the BAM 1020 Beta Attenuation Mass Monitor with a PM<sub>10</sub> inlet with VSCC (Very Sharp-Cut Cyclone, ThermoScientific, Model: FH62C14). Dust mass

concentrations at CSJ-PR, BAR, and MIA-USA were obtained based on the gravimetric analysis of TSP/PM<sub>10</sub> filters as described in Zuidema et al. (2019) and Prospero (1999). Dust in this case is defined as the ash residue weight, less the filter blank.

**Ground-based Aerosol Remote Sensing.** The column aerosol optical depths at 500 nm were accessed from seven Aerosol Robotic Network (AERONET, Sun Photometer CE318, CIMEL, Level 2) sites (e.g., CSJ-PR, GUA, BAR, MEDA-MEX, MIA-USA, HOU-USA, FLO-NW) in the GCB and southern United States (Holben et al. 1998). The wavelength-dependent aerosol optical properties (e.g., scattering coefficients, absorption coefficients, and scattering Ångström exponent) were obtained from the CSJ-PR site in Puerto Rico (Andrews et al. 2019). The aerosol scattering coefficient at three wavelengths (450 nm, 500 nm, and 700 nm) was measured by a nephelometer (TSI 3563), and the absorption coefficient was measured by a continuous light absorption photometer (CLAP) at three wavelengths (467, 528, and 652 nm). The aerosol scattering Ångström exponent (SAE, wavelength pair 450-700 nm) was calculated from the aerosol scattering coefficients obtained from the nephelometer.

Aerosol vertical structure was assessed from a ground-based micropulse lidar with depolarization operating at Miami and a Raman lidar with depolarization in Barbados (Stevens et al. 2016; Delgadillo et al. 2018).

**Weather Observations.** In Puerto Rico, the surface meteorological parameters (e.g., temperature, relative humidity, wind speed, wind direction) were drawn from a weather station (Vaisala WXT530) at CSJ-PR. The visibility data was obtained from a sensor (Vaisala PWD 20) located at the same elevation as the weather station. The boundary layer height (BLH) was estimated based on the observations from the land-based radiosondes launched at the National Weather Service Forecast Office in San Juan, Puerto Rico (WFO SJU, 18.43°N, 65.61°W). The Barbados and Miami sonde data are from <https://weather.uwyo.edu/upperair/sounding.html>.

**Satellite Observations.** Satellite observations included the measurements of Aerosol Optical Depth at 500 nm using the Visible Infrared Imaging Radiometer Suite (VIIRS, Level 1.5) and Atmosphere Archive and Distribution System (LAADS) with Deep Blue (DB) Aerosol and Dark Target (DT) aerosol sets (Levy et al. 2015), and the Moderate Resolution Imaging Spectroradiometer (MODIS) Terra and Aqua spacecraft with Deep Blue Aerosol and Dark Target aerosol sets (Levy et al. 2015). The aerosol optical depth with total and segregated by aerosol size and shape was obtained from a Multi-angle Imaging SpectroRadiometer (MISR) on the Terra Satellite (Kahn et al. 2009; Garay et al. 2020). The column aerosol optical depth and extinction coefficient at 532 nm were obtained from Space-based Cloud-Aerosol Lidar and Infrared Pathfinder Satellite Observation (CALIPSO) with the Cloud-Aerosol Lidar with Orthogonal Polarization (CALIOP) that performs global profiling of aerosol and clouds in the troposphere and lower stratosphere—level 2, Version 3 (Winker et al. 2009). The RGB (Red, Green, Blue) composite based upon infrared channels (IR8.7, IR10.8, and IR12.0) data were accessed from Spinning Enhanced Visible and Infrared Imager (SEVIRI) is a scanning radiometer (Banks et al. 2019).

**Dust forecast models.** Dust forecasts were provided through collaborations with the NASA Goddard Space Flight Center (GSFC) (<https://www.nasa.gov/goddard>) and the Caribbean Institute for Meteorology and Hydrology (CIMH) (<https://www.cimh.edu.bb/>). The NASA Goddard Earth Observing System (GEOS) model was used to forecast dust surface concentration and column integrated aerosol optical depths, vertical profiles of dust extinction and mass mixing ratio (Molod et al. 2015). The near-real time GEOS “Forward Processing” model is used here, which has a global ~12.5 km horizontal resolution and 72 hybrid sigma vertical levels extending from the surface to ~80 km (1 Pa). GEOS produces several forecasts each day, the longest being a 10-day forecast initialized at 0Z: products are typically available at 1 h frequency for surface or column integrated fields and 3 h frequency for vertical quantities. Aerosol data assimilation is based on assimilation of total aerosol optical depth derived from near-real-time MODIS aerosol products (Xian et al. 2019). The Weather Research and Forecasting model coupled with Chemistry (WRF-Chem) was used to forecast dust surface concentration

and total aerosol optical depth (Skamarock et al. 2005). The WRF-Chem is a regional model that has 2 dynamical cores, 40 vertical levels extending from the surface to 5 hPa, a 27 km horizontal spatial resolution, and time resolution each 3 h for 7 days of forecast. Additional information for these two models is provided in S3.

### **3. Results and Discussion**

In this section, we present (1) the satellite observations (Table 1) that preceded the Godzilla dust event and those during the event, (2) Godzilla's meteorological context, (3) its altitude, (4) its models' predictions, (5) its impact on air quality, and (6) and its record levels for different aerosol properties.

#### **3.1 Satellite Observations of the “Godzilla” dust event.**

Satellite retrievals (see Table 1) showed an unusually high frequency of dust intrusions over the North Atlantic in June 2020. The evolving nature of Godzilla is seen in daily VIIRS Suomi NPP satellite images (Fig. S4 and S5). A dense dust plume emerged from the African coast on June 7 (Fig. S4), but it did not travel far. From June 9 to 14, several more dust plumes emerged from the coast, but none reached the GCB. On June 15, an immense plume filled much of the eastern Atlantic (Fig. S4). By June 18, the dust event, comprised of two dust pulses, had advanced to the middle of the tropical Atlantic (Fig. S4). It eventually impacted much of the GCB from June 21 to July 1. On June 23, while the first dust plume was entering the eastern Caribbean (Fig. S5), a second plume emerged from Africa, but it was less intense, and the aerial coverage was less than the first (Yu et al. 2021). The second dust plume affected the GCB from June 26 to July 1. In the following section, we present the meteorological context for the Godzilla dust event.

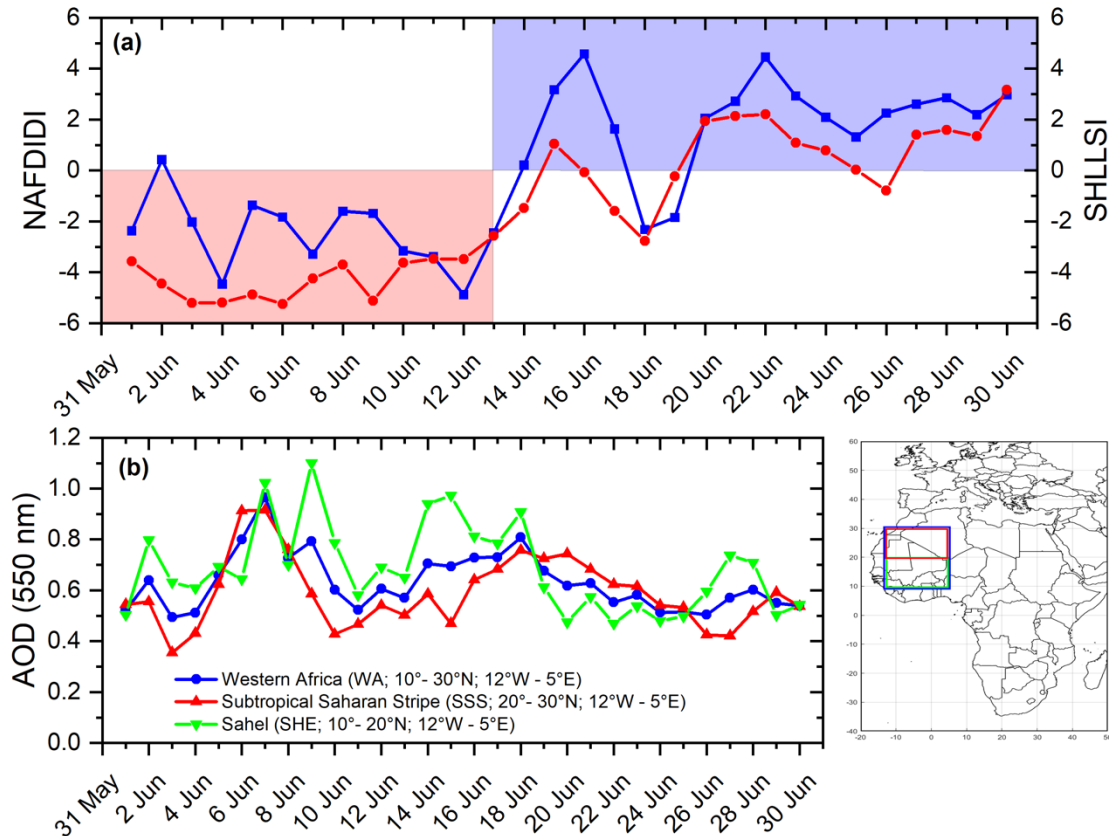
#### **3.2 Meteorological Context.**

Enhanced dust transport to the Tropical Atlantic can be linked to differences in anomalies of the geopotential heights at 700hPa between the subtropical (over Morocco) and tropical (over Nigeria) regions of North Africa. These differences are expressed as the North African Dipole Intensity (NAFDI) index (Rodriguez et al. 2015;

Cuevas et al. 2017). A strong NAFDI (higher pressure over the Sahara and lower pressure over the tropics) can lead to increased wind speeds, more vigorous atmospheric circulation and enhanced dust emissions. Negative phases of NAFDI result in dust export to the Western Mediterranean (Cuevas et al., 2017). A positive NAFDI enhances transport to the Tropical North Atlantic (Rodríguez et al., 2020; Schepanski et al., 2017). NAFDI changes phase on a 7-to-10-day cycle, which is broadly consistent with the pulsing frequency of dust plumes emerging from the coast as observed by satellites.

NAFDI is also closely connected to the Saharan Heat Low (SHL) longitudinal position, which modulates numerous mesoscale meteorological processes that can cause Saharan dust mobilization (Cuevas et al. 2017 and references therein). The East Phase of the SHL corresponds to the negative NAFDI phase, and vice versa. We use the NAFDI daily index (NAFDIDI) and the daily SHL Longitudinal Shift Index (SHLLSI Cuevas et al. (2017) to understand the meteorological conditions behind the “Godzilla” dust events. In June 2020, negative values of both NAFDIDI and SHLLSI were registered until June 13<sup>th</sup> (Fig. 3a). On June 14<sup>th</sup>, NAFDI sharply changed to a positive phase, except the “anomalous” negative values of NAFDIDI and SHLLSI around June 18<sup>th</sup>.





**Figure 3.** Time series of a) NAFDI daily index (NAFDIDI) and the Saharan Heat low Longitudinal Shift Index (SHLLSI), and b) daily MODIS Aerosol Optical Depth (AOD) at 550 nm for June 2020 over the African dust source regions.

Next, we investigate the linkage between meteorological processes and dust mobilization in the main dust source regions using the NAFDI phase and satellite observations. The primary dust sources impacting the GCB are in the Sahel and the Western Sahara (Zhao et al. 2022; Prospero and Mayol-Bracero, 2013, and references herein), referred to as the SHE region ( $10^{\circ}\text{N}$ - $20^{\circ}\text{N}$ ,  $12^{\circ}\text{W}$ - $5^{\circ}\text{E}$ ). Another dust source region is the so-called subtropical Saharan Stripe (SSS;  $20^{\circ}$ - $30^{\circ}\text{N}$ ;  $12^{\circ}\text{W}$ - $5^{\circ}\text{E}$ ) region, as described by Rodríguez et al. (2015), and where northeast winds with moderate to strong average wind speed are observed under positive NAFDI. In order to identify where dust is mobilized in the Sahara and Sahel regions in June 2020, we examined the daily 15-minute EUMETSAT SEVIRI Dust red-green-blue (RGB) imagery (Met Office, 2022), following Schepanski et al. (2007, 2009) (Fig. S6), which confirm the origins of dust sources over the SSS and SHE regions. We next elaborate in detail the

relationship between dust loading, indicated by MODIS AOD, and the meteorological conditions.

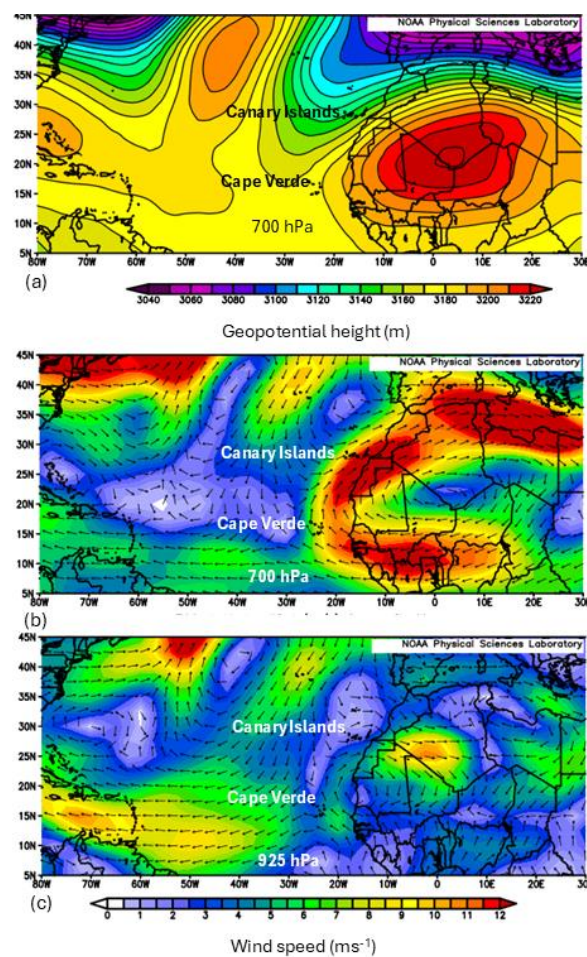
### 3.2.1 NAFDI Negative phase

In the first three days of June 2020, a strong cut-off low centered between the Azores and the Iberian Peninsula evolved into a deep trough visible from 850 to 200 hPa (not shown here) with a NE-SW axis that extended from mid-latitudes (40°N) to Cape Verde along west Africa (Fig. 4a). This upper-level trough between the North Atlantic Subtropical High (NASH) and the North African High is a characteristic pattern of the geopotential height at 700 hPa during the negative phases of NAFDI (Cuevas et al. 2017). Francis et al. (2020) reported this trough at 850 hPa. Such troughs are associated with mid-latitude Rossby waves (Chauvin et al. 2010).

Between June 4 and 8, and coinciding with a deepening and maximum elongation to the south of the trough at 700 hPa, very high clouds associated with mesoscale convective systems (MCSs) and large areas of dust (in pink) are clearly seen to develop in a matter of hours in the SHE and SSS, as shown by SEVIRI RGB - dust imagery. This region shows several AOD peaks (Fig. 3b), consistent with the great quantities of dust being uplifted by short-lived atmospheric processes, like MCSs. This results in a period of days in June 2020 with the highest average AOD values. This was most likely caused by the upper trough present between June 4 and 13 since the strong upper-level mid-latitude troughs in the Atlantic-Africa sector caused a significant northward shift of the ITD (Ward et al. 2023). From June 11-13, additional dust contributions from MCSs were still observed, but with much lower intensity.

During the period June 4-13, 2020, a large amount of dust was present over the SSS and the SHE (Fig. 3b), coinciding with several dense short-range dust plumes emerging from the African coast (Fig. S4), the dust remained confined over the continent and the ocean close to the coastline (< 500 km distance) (Fig. 5a) because of wind recirculation over northern Africa, typical of negative NAFDI phase (Cuevas et al. 2017).

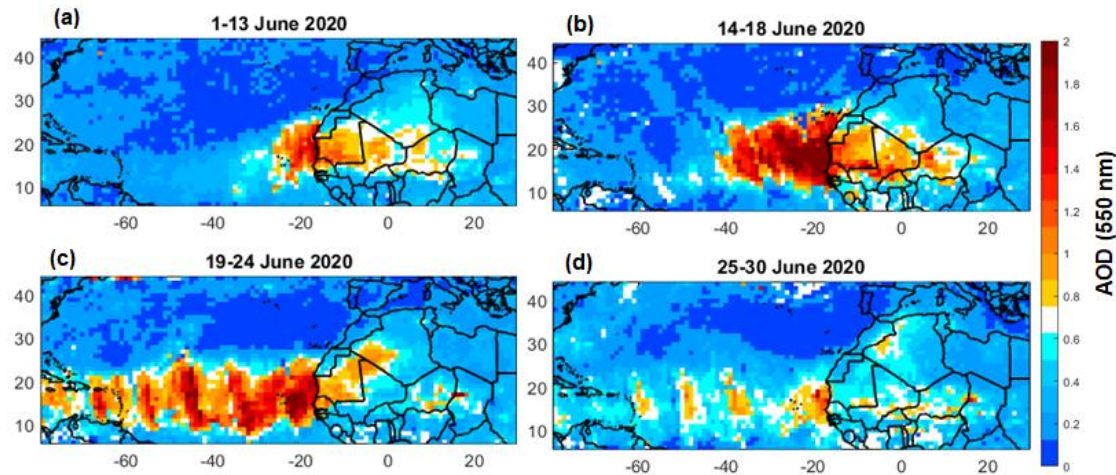
Indeed, under negative phase NAFDI, wind fields prevent the transport of dust into the Atlantic throughout June 1-13, as shown in Fig. 4b and 4c. A moderate-to-strong easterly wind corridor is observed over the Sahel at 700 hPa (Fig. 4b). When the plume reaches the Atlantic Ocean, at a maximum distance of about 500 km from the coast, the flow curves north anticyclonically and turns eastward across northern North Africa. Near ground (925 hPa), a negative NAFDI phase shows an anticyclonic airmass circulation over the western Sahara (Fig. 4c).



**Figure 4.** Time-averaged (a) Geopotential height at 700 hPa (m); (b) Vector wind at 700 hPa, (c) Vector wind at 925 hPa for the period June 1-13, 2020, in the geographical domain 5°N-45°N, 80°W-30°E, under negative NAFDI phase. (Source: NCEP/NCAR reanalysis)

During the June 1-13 period, we observed strong heating over the Algerian-Libyan border and strong cooling on the coasts of Morocco and Western Sahara, resulting in

an East-Phase of the SHL corresponding to a negative phase of NAFDI (Cuevas et al. 2017), consistent with our observations.



**Figure 5.** Time-averaged MODIS AOD at 550 nm in the geographical domain 5°N-45°N, 80°W-30°E for (a) June 1-13, 2020, (b) June 14-18, 2020, (c) June 19-24, 2020, and (d) June 25-30, 2020. (Source: MODIS AOD data used in this work can be freely obtained at <https://giovanni.gsfc.nasa.gov/giovanni/>).

### 3.2.2 NAFDI positive phase

According to the description of the daily evolution of dust plumes in Section 3.1 and recognizing that the meteorological patterns in the positive NAFDI period are not as homogeneous as those found in the negative phase, we have subdivided the analysis of this second phase into three subperiods: June 14-18, 19-24, and 25-3.

From June 14, under the positive phase of NAFDI, the geopotential height pattern at 700 hPa (Fig. 6a) changes radically. As described by Cuevas et al. (2017), the NASH and the North African high at 700 hPa merge into a single large anticyclone, with its southern flank favoring effective air mass transport from Africa to America (Fig. 6d).

Coinciding with the change of NAFDI to a positive phase, an increase in thunderstorm activity is observed over the SHE in RGB Eumetsat imagery, causing two strong haboobs on June 14 and 15 in the SHE. These haboobs did not affect the SSS because the wind flow over the WA region was already cyclonic, thus explaining the AOD peaks observed only in the SHE (Fig. 3b).

The deep and persistent trough observed in the eastern Atlantic rapidly retracted on June 14, with the drastic change to a positive phase of NAFDI. However, it did not completely disappear until June 18 (Fig. 6a and b). During these five days, the contraction of the trough, which practically became a cut-off low, favoured easterly winds over the subtropical Atlantic (Fig. 6d and 6g). However, it affected the North African High, flattening it on its northern flank and displacing it southwards (Fig. 6a). The negative NAFDIDI values registered on June 18 and 19 reflected this anomaly. During these days, the cut-off low was positioned over the northern half of Morocco, dramatically reducing the 700 hPa geopotential height values of the subtropical region of the dipole, resulting in negative NAFDIDI values on June 18 and 19 (Fig. 3a).

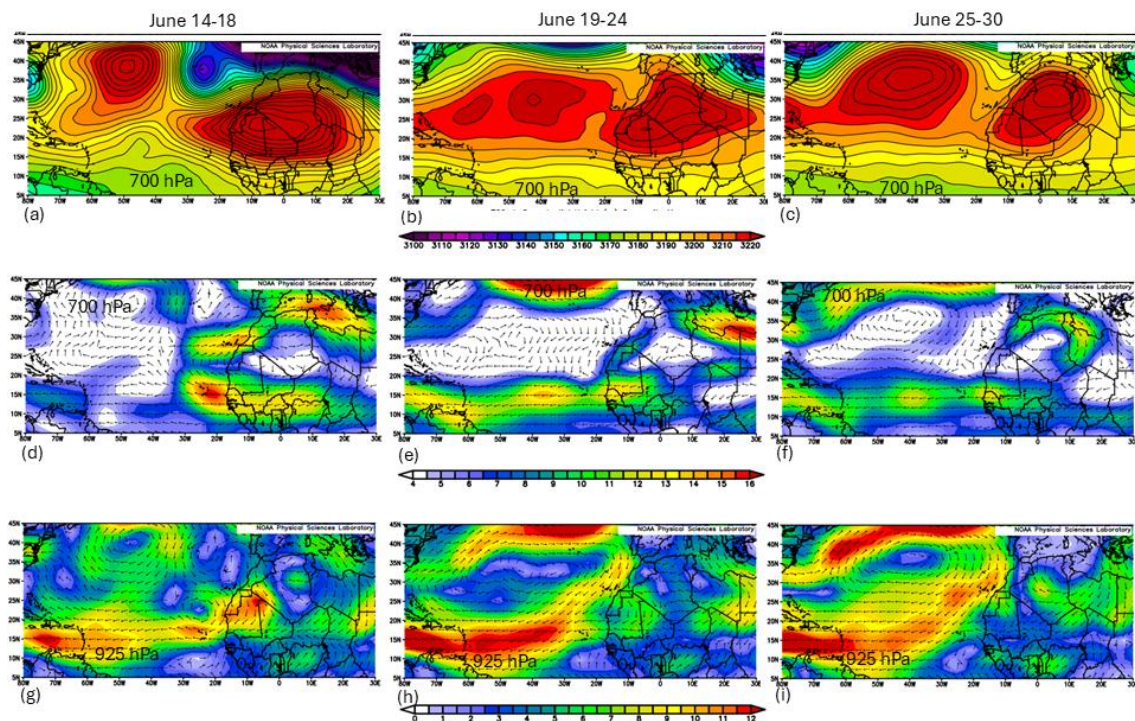
Given the interaction between the North African high and the SHL (Chen, 2005), it is expected that the anomalies recorded in the former were transmitted to the latter, as is the case when registering negative values of the SHLSI (on June 16, 17 and 18). During an undisturbed positive NAFDI phase, a strong warming is observed near the surface and centred on Morocco's south Atlantic coast ( $\sim 30^\circ\text{N}$ ) resulting in a western-SHL (Cuevas et al. 2017). However, during the anomalous period June 14-18, the greatest increase in temperature at 925 hPa ( $\sim +4^\circ\text{C}$ ) was registered much further southeast, just north of the southern border of Mauritania ( $\sim 20^\circ\text{N}$ ), causing the SHL to shift to the SE, far from its usual position under positive values of SHLLSI. From June 16 to 18, the anomalous warming region, which was elongated to the East, affected the eastern point ( $20^\circ\text{N}$ ,  $7.5^\circ\text{E}$ ) used to calculate the SHLLSI (Cuevas et al. 2017), which registered a higher temperature of that of the western point ( $25^\circ\text{N}$ ,  $12.5^\circ\text{W}$ ), resulting in erroneous negative SHLLSI values.

The anomalous position of the SHL located so far south ( $20^\circ\text{N}$ ) played a crucial role in two atmospheric processes that lifted vast amounts of dust during June 14-18, 2020: (1) Perturbation of the nearby ITD, triggering MCSs in both the SHE and SSS (RGB EUMETSAT animations, see SA1). (2) Creation of a huge geopotential height gradient at its NW, resulting in sustained NE strong ground-level winds ( $>12\text{ ms}^{-1}$ ) (Fig. 6g),



peaking on June 16<sup>th</sup> and 17<sup>th</sup> ( $>15 \text{ ms}^{-1}$ ) over the SSS. The wind speeds registered during the 15-18 June 2020 period were between 5 and 12  $\text{ms}^{-1}$  higher than the climatological values (1991-2020 NCEP/NCAR Reanalysis). Francis et al. (2020) also reported strong surface winds in a similar period before June 18<sup>th</sup> in a geographic domain ( $19^{\circ}$ - $30^{\circ}$ N;  $20^{\circ}$ - $0^{\circ}$ W) close to the SSS.

These two atmospheric processes resulted in an extraordinary transport of dust (Fig. 5b) to the GCB until June 18. Both the SSS and SHE showed the second highest AOD of June 2020, 0.7 and 0.9, respectively (Fig. 3b).



**Figure 6.** Time-averaged geopotential height at 700 hPa (m) for (a) June 14-18, (b) June 19-24, and (c) June 25-30; Time-averaged vector wind at 700 hPa ( $\text{ms}^{-1}$ ) for (d) June 14-18, (e) June 19-24, and (f) June 25-30; Time-averaged vector wind at 925 hPa ( $\text{ms}^{-1}$ ) for (g) June 14-18, (h) June 19-24, and (i) June 25-30, in the geographical domain  $5^{\circ}$ N- $45^{\circ}$ N,  $80^{\circ}$ W- $30^{\circ}$ E, under positive NAFDI phase. (Source: NCEP/NCAR reanalysis)

Simultaneously, the circulation changed at 700 hPa, the altitude where the maximum transport of Saharan dust towards the Atlantic takes place in summer (Rodríguez et al. 2015; Barreto et al. 2022). On June 13, the flow turned northwards a few km from the coast, gradually made that turn more and more to the west, becoming completely zonal



on June 18. This gradual change in the wind at 700 hPa (Fig. 6d) caused dust to accumulate over the coastal region, where the dust plume would emerge over the ocean on June 18 (Fig. 5b), as reported by Yu et al. 2021. On June 18, Godzilla (Fig. 5b) emerged over the Atlantic.

Moreover, dust lifted by MSCs is also observed in the SSS, but with lower intensity than those observed in the previous period (RGB EUMETSAT imagery, see SA1). As a result, the average AOD of the SSS is higher than that of SHE (Fig. 3b). Therefore, the SSS seems to be the main contributor to the transport of dust to the GCB in this period and also to the appearance of a second dust plume on the 24<sup>th</sup> (Fig. S5), which was much weaker than the first (Fig. 5c). Finally, in the period June 25-30, the dust mobilized in the SSS and SHE decreased significantly (Fig. 3b), resulting in a very weakened dust plume moving towards the Caribbean (Fig. 5d), thus ending the life of Godzilla.

During the two dust plumes of June 2020, negative zonal wind anomalies were observed in the AEJ (not shown here), also reported by Francis et al. (2020) and Pu and Jin (2021). These features are consistent with a more effective transport of African air masses to the Caribbean (e.g., Kramer et al. 2020a).

### **3.3 Altitude of the African dust transport over the Caribbean.**

The vertical structure of the African dust plume can be measured using spaceborne and ground-based lidar. Yu et al. (2021) examined the evolution of CALIOP aerosol extinction profiles by following the dust plumes across the Atlantic. They found that the top of the Godzilla plume on 17-18 June 2020 reached 6-8 km near West Africa, higher than the climatological altitude of summertime extreme dust events (i.e., ca. 5 km, Huang et al. 2010). The top descended to about 4 km when the plume entered the eastern Caribbean on 23-24 June and subsequently into the US over Texas on 27 June. CALIOP also suggests that the dust in the marine boundary layer had become mixed with marine aerosols by the time it reached the Caribbean. The CALIOP-inferred

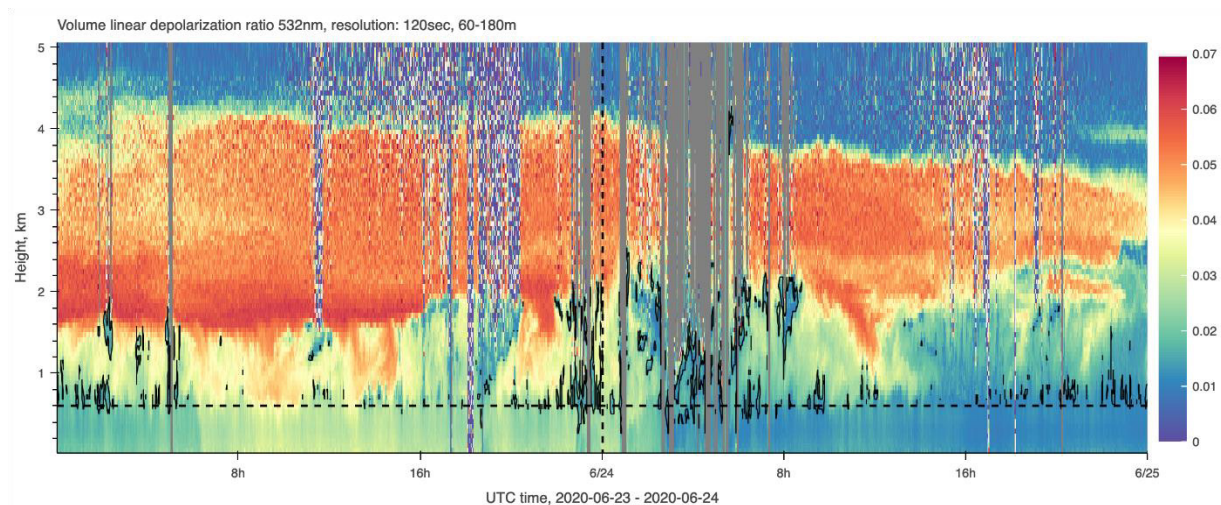
westward traveling speed (1000 km/day) of the Godzilla plume is consistent with the climatology of summer extreme dust events derived from MODIS/Aqua 2003-2007 observations (Huang et al. 2010).

The CORAL (Cloud-Observing Radar and Lidar (Stevens et al. 2016)) based on Barbados (13.163N 59.429W) corroborates with high temporal resolution the CALIOP-inferred altitude distribution of the aerosol layer and provides additional detail on how the dust mixes into the boundary layer (Fig. 7). The depolarization ratio serves as a measure of the asphericity of aerosol particles. Because dust particles are largely aspherical, CORAL can distinguish them from the more spherical sea-spray aerosol. Due to a residual polarization impurity in the sounding laser beam in the CORAL lidar, which was confirmed for the period of interest, the absolute values of the depolarization ratio were significantly biased towards low numbers. Nevertheless, even with this underestimation, we could perform qualitative analysis with CORAL to distinguish between dust and sea spray. Figure 10 indicates the dust layer reaches up to 3.5 - 4 km above Barbados, with most of the dust residing in the SAL directly above the trade-wind inversion at approximately 1.5 km as previously observed (Prospero and Carlson 1972; Prospero et al. 2021). A meteorological sounding made at 12Z at the nearby airport yields a classic SAL with a sharp inversion at 1.5 km and a second at about 4.0 km with an isentropic layer between the two altitudes. These features exactly match the altitude distribution of the CORAL aerosol profile (Fig. S7a). In places, buoyant plumes from below the trade-wind inversion appear to have penetrated the base of the dust layer and subsequently entrained dust, carrying it downward to the surface as seen on June 23. The transfer of dust from the SAL into the boundary layer by convective erosion of the the SAL base inversion was first noted in extensive aircraft flights in the Barbados region in 1969 (Carlson and Prospero, 1972). Many studies (e.g., Castellano et al. 2024) suggest that the dust is well mixed in the vertical in SAL due partly to convective mixing driven in part by dust radiative heating within the dust layer.

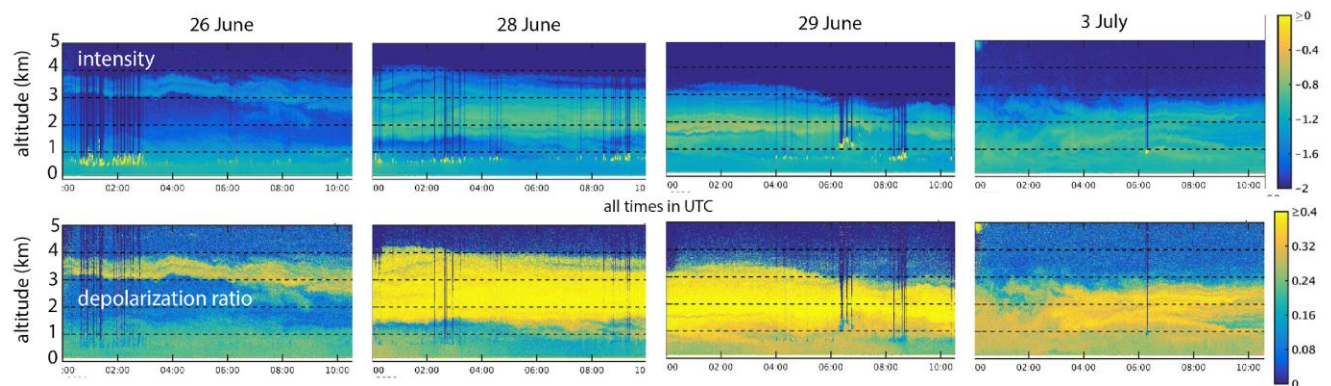
After entering the Caribbean, the plume moved westward. A portion of the plume subsequently turned anti-cyclonically, heading north over the Gulf of Mexico into Texas,

and then turned east, eventually appearing over the Florida panhandle on June 25 (Yu et al. 2021). The plume continued its anti-cyclonic trek, reaching Miami (26N, 80W) on June 26, where it was detected by a micropulse lidar with depolarization capability (Fig. 11; Delgadillo et al. 2018). This pattern is common in June (Kramer et al. 2020a) and reflects the effects of the westward extension of the North Atlantic Subtropical High (NASH) also shown in Yu et al. (2021). The top of the plume above Miami remained near 4 km on June 28, descending to 3 km after June 29 due to the increasing influence of the subsidence associated with the NASH. These altitudes are typical of SAL dust layers over Miami (Kramer et al. 2020b). Notably the dust layer remained coherent and elevated during its transit over the Gulf of Mexico and the southern and southeast US, a period of approximately 5 days.

It is significant that at Miami, the extinction values are associated with large volume-depolarization ratios that attain values typical for dust emitted near sources ( $>0.3$ ; see comparisons to other dust events over Miami in Kramer et al. 2020b) (Fig. 8 and Fig. S7b). This suggests that there was little mixing of SAL air with air from the northern Atlantic or even from the southeastern United States. Apparently, the dusty African air mass displaces the ambient air during its passage over land rather than mixing with it. The dusty air itself remained well-mixed in the vertical, especially near the top of the SAL, also evident in Miami lidar soundings, further indicating an ability for the dust plume to retain some of its original SAL features after 10 days of transport from sources deep within North Africa. Over Africa, haboob-type dust storms can generate deep dust columns with enhanced moisture at the upper altitudes in the dust layer compared to a non-dusty atmosphere, and thereby increase layer-top cooling through longwave radiation (Ryder et al. 2021; Barreto et al. 2022). The sinking of the cool air induces turbulent mixing in the SAL, which can help maintain its integrity. The minimal mixing observed over Miami does not contradict the CALIOP results of dust well mixed in the marine boundary layer. These results most likely reflect that Puerto Rico and Miami were affected by different parts of the dust plume.



**Figure 7.** Evolution of the Godzilla dust plume above Barbados (13.163N; -59.429W) during June 23-24, 2020, based on measurements of volume depolarization ratio using the CORAL LIDAR. The days with the maximum aerosol loading measured with filters at Ragged Point are associated with a very high-volume depolarization ratio. Dust, having more aspherical aspect ratios, will produce higher values of the depolarization ratio, with all other properties remaining unchanged. Black contours indicate clouds. The dashed vertical line indicates 0:00 UTC on June 24. The dashed horizontal line indicates the approximate cloud base (estimated to be at 600 m). The plumes seen descending from the SAL base suggest that dust is actively transferred from the SAL to the marine boundary layer air by convective mixing processes.



**Figure 8.** Evolution of the backscattered intensity record (upper panels) and the volume depolarization ratio (lower panels) above Miami, FL, from June 26 through July 3, 2020, as observed by a micropulse lidar. The logarithmic color scales should be interpreted qualitatively. The upper panels show increasing amounts of aerosol being advected over Miami with time. The lower panels show that the SAL subsides as the dust event moves through the region. The volume depolarization ratios are relatively large, which could be interpreted to mean that the aerosol is dominated by aspherical particles (e.g., mineral dust) that overwhelm other types of aerosols that are more spherical, most likely sea salt.

### 3.4 How Well Were the Events Predicted by Dust Forecast Models?

Numerous research and operational modeling systems have provided dust forecasts for early warning over the GCB region, including the nine global forecasts that participate the International Cooperative for Aerosol Prediction (ICAP) (Xian et al. 2019).

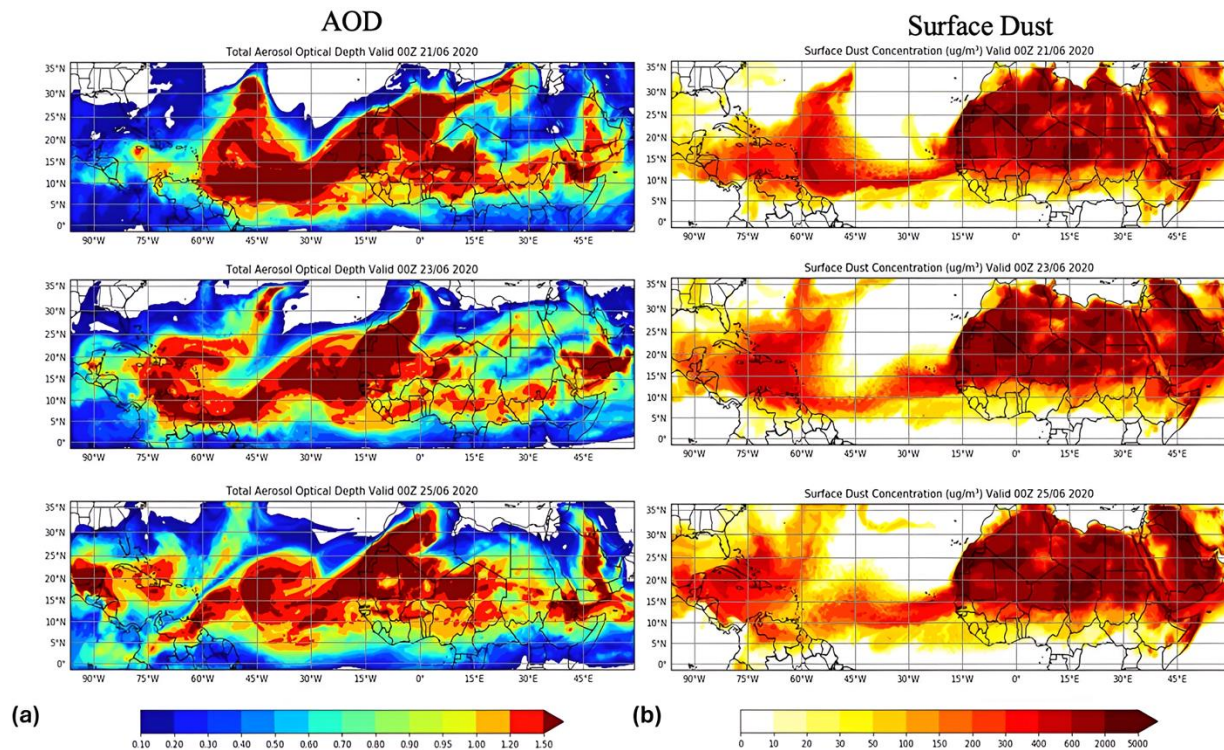
Additionally, there are at least three regional dust forecasting systems routinely operated in this region including: (i) the Caribbean Institute for Meteorology and Hydrology maintains WRF-Chem; (ii) the U.S. National Weather Service (NWS) National Air Quality Forecast Capability (NAQFC) which, as of 2024, covers the North America and part of the Central America, including the Puerto Rico region (Li et al. 2024); and (iii) the NWS San Juan-Puerto Rico Field Office forecast operations of dust, and total PM<sub>10</sub> and PM<sub>2.5</sub> over the Caribbean region to support authorities in managing air quality and health risks associated with trans-Atlantic Africa dust in small island states (SIS) (Tong et al. 2023). Here we utilize simulations from WRF-Chem and GEOS, which are two of the most commonly used dust forecast models for the GCB.

**WRF-CHEM Forecast.** The WRF-Chem model (initialized June 20, 00Z) forecast that moderate-to-high AOD and dust surface concentrations would affect the eastern GCB beginning around June 21 (Fig. 9a). WRF-Chem predicted that the most intense dust plume would reach the eastern GCB by June 23 based on the model AOD and reach the western GCB by June 25. The WRF-Chem surface dust forecast differs from the AOD forecast in that the former shows substantial dust in surface air that arrives well in advance of the AOD dust “front” on June 21 (Fig. 9a). Indeed, surface measurements show substantial increases of aerosol at some sites as early as June 19 (e.g., Martinique and Guadeloupe, see below).

WRF-Chem predicted peak surface dust concentrations at  $> 400 \mu\text{g}/\text{m}^3$  over a large area of the region and peak AOD at  $> 1.5$  in several areas. This forecast (Fig. 9b) shows an extremely large plume that, on 25 June, encompassed the entire GCB, with surface dust concentrations exceeding  $400 \mu\text{g}/\text{m}^3$  in the plume core. WRF-Chem



predicted AOD to be around 0.9 at the periphery of the plume and 1.5 in the core (Fig. 9a).



**Figure 9.** WRF-Chem prediction of aerosol optical depth (AOD) (a) and surface dust concentration (b) initialized June 20, 2020, 00Z and valid for June 21, 2020, 00Z (upper), June 23, 2020, 00Z (middle), and June 25, 2020, 00Z (lower), respectively.

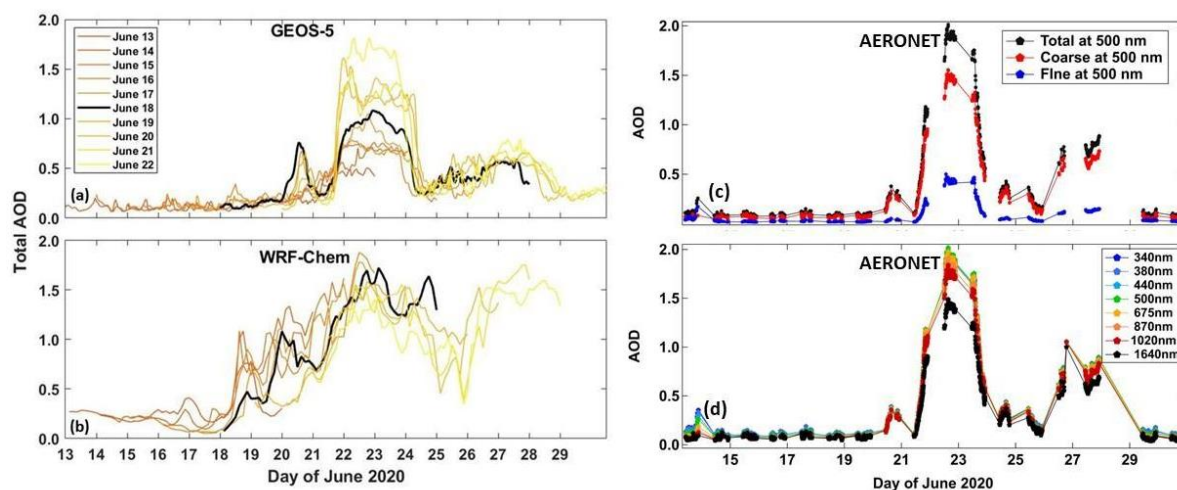
### NASA GEOS Model.

Figure 10a shows the progression of daily GEOS forecasts of the total AOD at Cape San Juan (CSJ), Puerto Rico, beginning on 13 June. Each trace shows the 10-day forecast initialized from 00z on the indicated day. Note the very low total AOD forecast before June 18. However, there are hints of a greatly increased AOD as was later observed on June 22-23. The predicted AOD increased as the forecast initialization time approached the peak on June 23. The highlighted June 18 forecast in Fig. 10a shows a moderate total AOD  $\approx 1.0$  spanning June 22-23 and a pronounced peak on June 20, two days before the arrival of the major event. As the forecast time approached the time

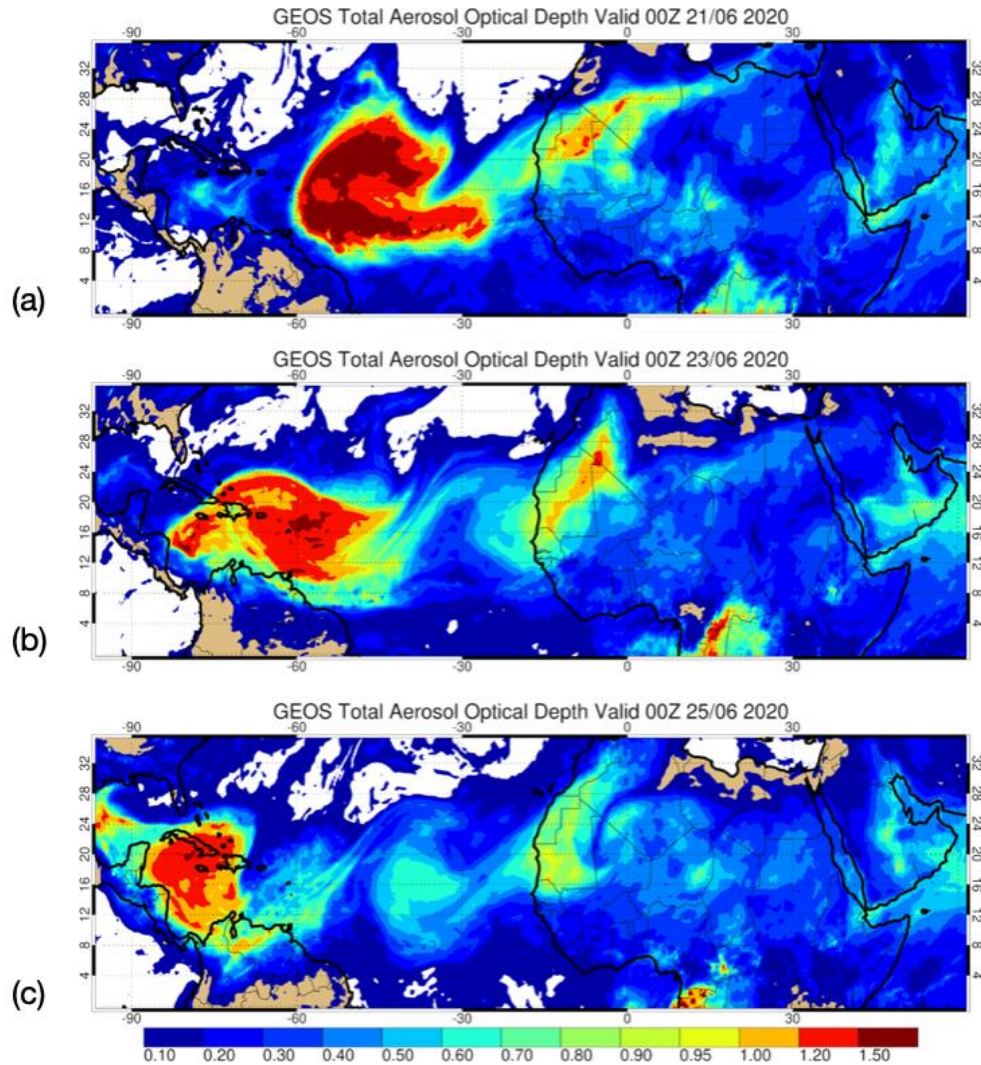


of the event (lighter yellow colors), the AOD forecast values increased. This improving agreement trend demonstrates the value of the aerosol data assimilation used in GEOS. Later forecasts reduced the magnitude of the peak on June 20 but progressively increased so that on June 22 the forecast is close to observations (Figure 10c), as for example the forecasts initialized on June 20. In addition, the forecast shows the arrival of a minor dust event on June 26-28.

In contrast, WRF-Chem (Figure 10b, also highlighting the forecast initialized on June 18), predicted a higher peak AOD, about 1.6, for the period June 22-24. Also, the predicted AODs between June 27-28 were a factor of 3 higher than in GEOS. WRF-Chem does not use data assimilation. But overall, there is greater consistency in the WRF-Chem forecasts leading up to the dust event than in the GEOS forecasts. In Fig. 10c, we also show the daily mean AOD measured at the CSJ-PR as part of AERONET (Holben et al. 1998) and over the same period as in Fig. 10a. Figure 10d shows the daily means obtained in all 8 spectral channels. The AODs are nearly identical except at 1640 nm. The lack of spectral variability (i.e., neutral extinction with an Ångström exponent near zero), is an identifying characteristic of atmospheric mineral dust. The lack of spectral variability in the AOD magnitude at shorter wavelengths is characteristic of coarse atmospheric dust particles and is commonly observed in AOD measurements in the GCB in summer. Fig. 10c shows the fine and coarse AOD values which are calculated based on the extinction at 440 and 870 nm. When Godzilla reached CSJ, the coarse particle fraction increased greatly relative to the fine particle fraction, a change that we would expect for the arrival of a relatively coarse aerosol like soil dust. In summary, as the forecast initialization time approaches the verification date (June 22-23) GEOS does a better job of predicting the observed sharp rise in dust AOD, but earlier than June 20 the GEOS forecasts underestimate the observed peak while WRF-Chem more consistently forecasts the high peak AOD that was observed.



**Figure 10.** (a) GEOS forecast total AOD and (b) WRF-Chem forecast total AOD at CSJ-PR, color-coded by the forecast initialization time. The 00Z June 18 forecast is highlighted by the thick black line. (c) CSJ-PR AERONET total coarse and fine AOD, and (d) CSJ-PR AERONET daily mean AOD obtained in all 8 spectral channels (340, 380, 440, 500, 675, 870, 1020, and 1640 nm).



**Figure 11.** Forecast total AOD from the GEOS 0Z June 20, 2020, forecast for each of (a) 00Z June 21, 2020, (b) 00Z June 23, 2020, and (c) 00Z June 25, 2020.

Comparisons of Figures 9 and 11 suggest some explanation for differences between the GEOS and WRF-Chem simulations. On June 23, both WRF-Chem and GEOS predicted significant amounts of dust over the Caribbean, with WRF-Chem predicting an overall higher ( $>1.5$ ) total AOD than GEOS ( $\sim 1.2$ ). It also shows much higher AOD over the Saharan desert source region, with a regional average AOD  $> 1.5$ , while GEOS yields AOD values mostly  $< 1$ . Comparisons of simulated surface mass concentrations are also illustrative, with WRF-Chem showing mass concentrations  $> 400 \mu\text{g}/\text{m}^3$  (Fig. 9b) over Caribbean on June 23, while GEOS is closer to  $200 \mu\text{g}/\text{m}^3$  (Fig. S8). While the

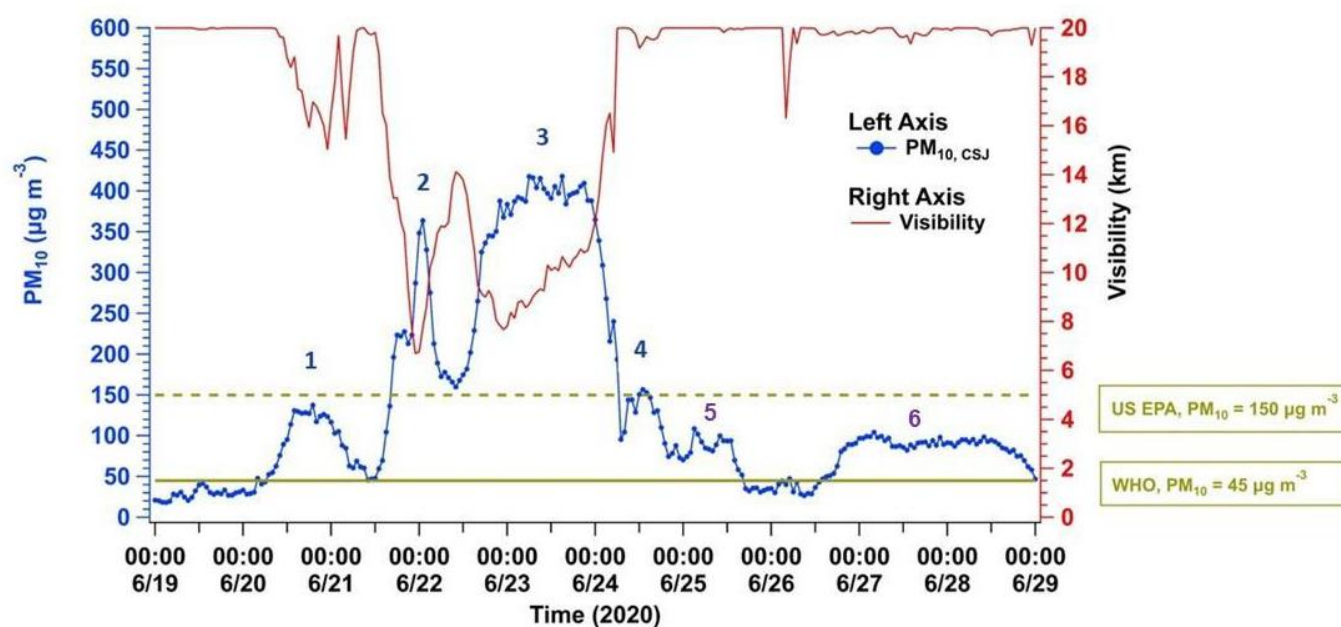
regional AOD only differs by about 50%, the surface concentrations differ by more than a factor of 2. This suggests either a difference in the simulated vertical distributions of dust, a relatively larger coarse mode contribution to total dust in WRF-Chem, or the method used to calculate AOD from dust mass loading in WRF-Chem (i.e., more massive but less optically efficient particles).

Aerosol forecast models, even those using data assimilation, are mostly constrained by the total column AOD (Xian et al. 2019); aerosol emissions, removal, speciation, particle properties, and vertical distribution are model-dependent prognostic variables. As seen by the GEOS and WRF-Chem results, there can be considerable differences among models. While GEOS predicted the sharp rise in AOD observed with AERONET, it underestimated the magnitude. In this study, WRF-Chem did not use data assimilation, and, consequently, its higher forecast AOD is because of other model parameterizations. CALIMA in-situ observations of mass and particle size could provide constraints on aerosol transport models, for example by refining model assumptions of dust particle size distribution and mass-to-extinction relationships implied in model optical properties (e.g., Castellanos et al. 2024). It is important to stress that the AOD assimilation applies to the total AOD. The partitioning of AOD across species is a function of the model algorithm and so is a source of uncertainty.

### **3.5 Godzilla's Impact on Air Quality.**

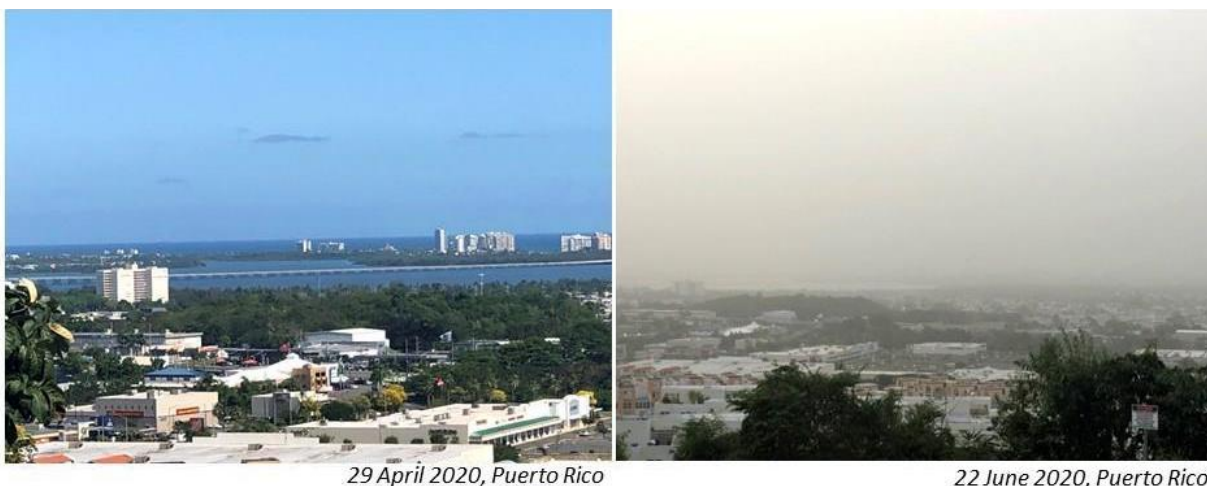
African dust transport can lead to a reduction in air quality near the sources in Africa (Milford et al. 2020) as well as after long-range transport to the GCB (Prospero et al. 2001; Prospero and Mayol-Bracero 2013; Raga et al. 2021). This was clearly seen during Godzilla (Pu and Jin 2021; Yu et al. 2021; Mehra et al. 2023). Figure 12 shows PM<sub>10</sub> mass concentrations at Puerto Rico from June 19 – 29. What we refer to as the 1<sup>st</sup> dust pulse of (the Godzilla event is represented by peaks 1-5 with the highest concentrations occurring on June 21-24 (peaks 2 and 3 in Fig. 12). A 2<sup>nd</sup> major pulse was observed on June 26-29 (peak 6 in Fig. 12).

Surface visibility was greatly reduced in San Juan, Puerto Rico, on June 22-23 as shown in measurements (Fig. 12) and in photographs (Fig. 13). The reduction is consistent with the empirical relationship between  $PM_{10}$  and horizontal visibility (Camino et al. 2015). The meteorological soundings at San Juan (Fig. S7c and d) reveal the presence of a classical SAL structure with an elevated dry isentropic layer. The increased surface-level dust concentrations are believed to be largely the result of downmixing from the SAL, a feature also seen in lidar observations at Miami and Barbados (Fig. 7 and 8) during Godzilla. At the peak of the event, when  $PM_{10}$  reached its maximum, the visibility decreased to 7-8 km (Fig. 12), the skies went dark, visibility was reduced, and air quality was degraded. The series of events affected the region for about 15 days (June 18-July 1, 2020).



**Figure 12.** Puerto Rico (CSJ-PR)  $PM_{10}$  mass concentrations (primary y-axis) and visibility (secondary y-axis) from June 19 - 29. The first dust pulse (Godzilla) is represented by peaks 1 to 5. Peak 6 represents a 2nd dust pulse. The gold horizontal lines show the US EPA and WHO 24-h  $PM_{10}$  guidelines.





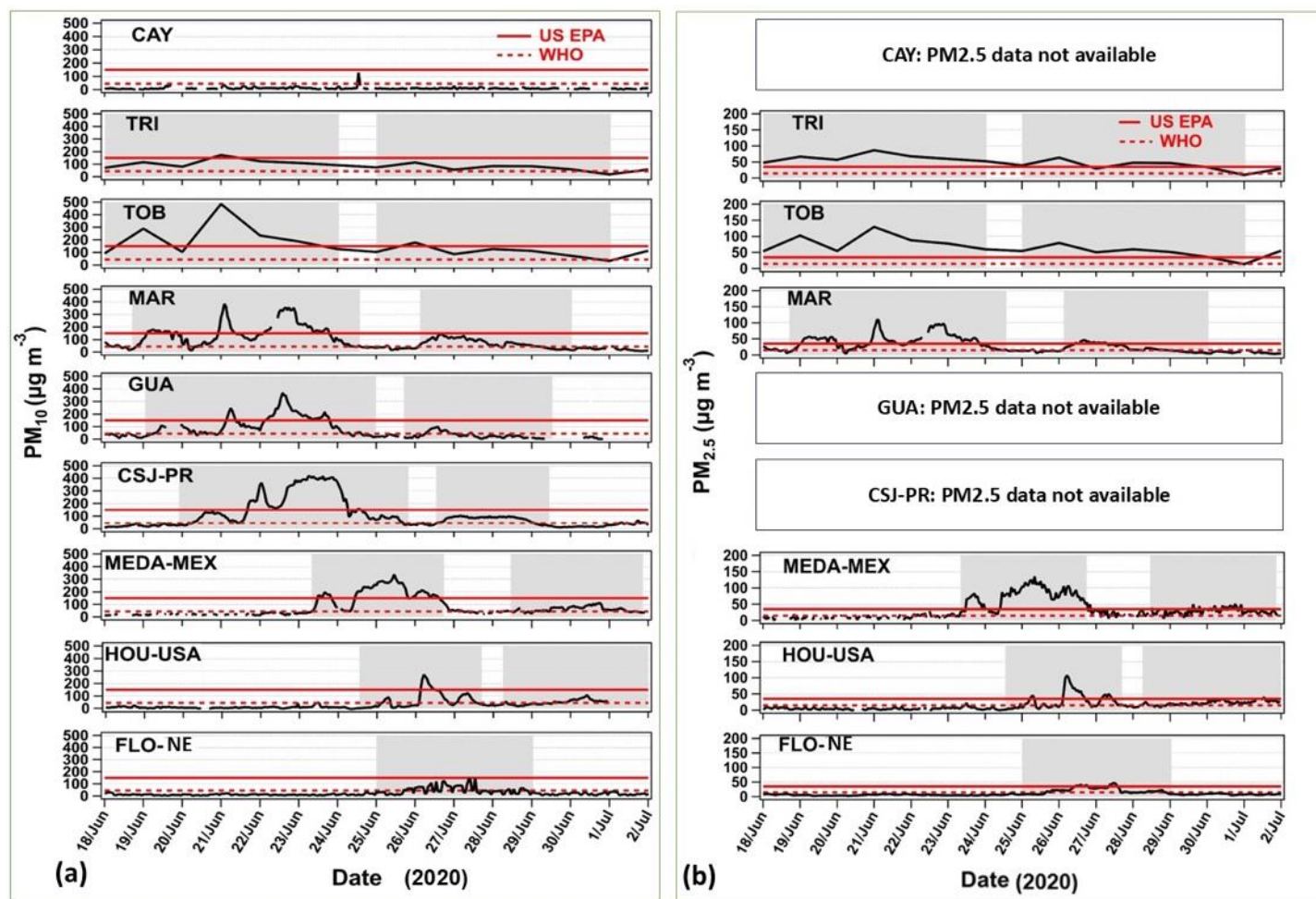
**Figure 13.** Photos taken in San Juan, Puerto Rico, on April 29 before (left) the Godzilla event and on June 22 (right) during the event (Credit: Olga Mayol-Bracero).

Figure 14 shows the time series of  $PM_{10}$  and  $PM_{2.5}$  in the GCB. Godzilla was observed at most GCB stations but not at Cayenne (CAY) which was in the ITCZ as is typical for this time of year. Similarly, Trinidad was located south of the main plume. Figure S9 shows the Lagrangian path of the Godzilla plume based on the air mass back trajectories (Hybrid Single-Particle Lagrangian Integrated Trajectory model, HYSPLIT; Stein et al. 2015). The 7,000 km long Godzilla path and the timing of the advance of the dust front are consistent with the PM record shown in the time-series plots of  $PM_{10}$  (Fig. 14a) and  $PM_{2.5}$  (14b).

Table 2 presents the observed mean  $PM_{10}$  and  $PM_{2.5}$  mass concentrations measured during Godzilla in the GCB and the guideline exceedance factor: the ratio of those concentrations to the WHO and US EPA guidelines. Most stations exceeded both the WHO and US EPA  $PM_{10}$  24- and  $PM_{2.5}$  guidelines. At times they were almost twice the US EPA guidelines. At Tobago were 4 and 5x the stricter WHO guidelines for  $PM_{10}$  and  $PM_{2.5}$ , respectively. At Martinique and Puerto Rico, the period of exceedances lasted 9 to 10 contiguous days. Thus, Godzilla constituted a major existential threat to public health over a huge area. For example, based on the air quality indexes (AQI) associated with the observed  $PM_{10}$  concentrations, Puerto Rico experienced “Unhealthy



for sensitive groups” or “Hazardous” conditions over 3 days based on US EPA guidelines and over 9 days according to WHO guidelines.

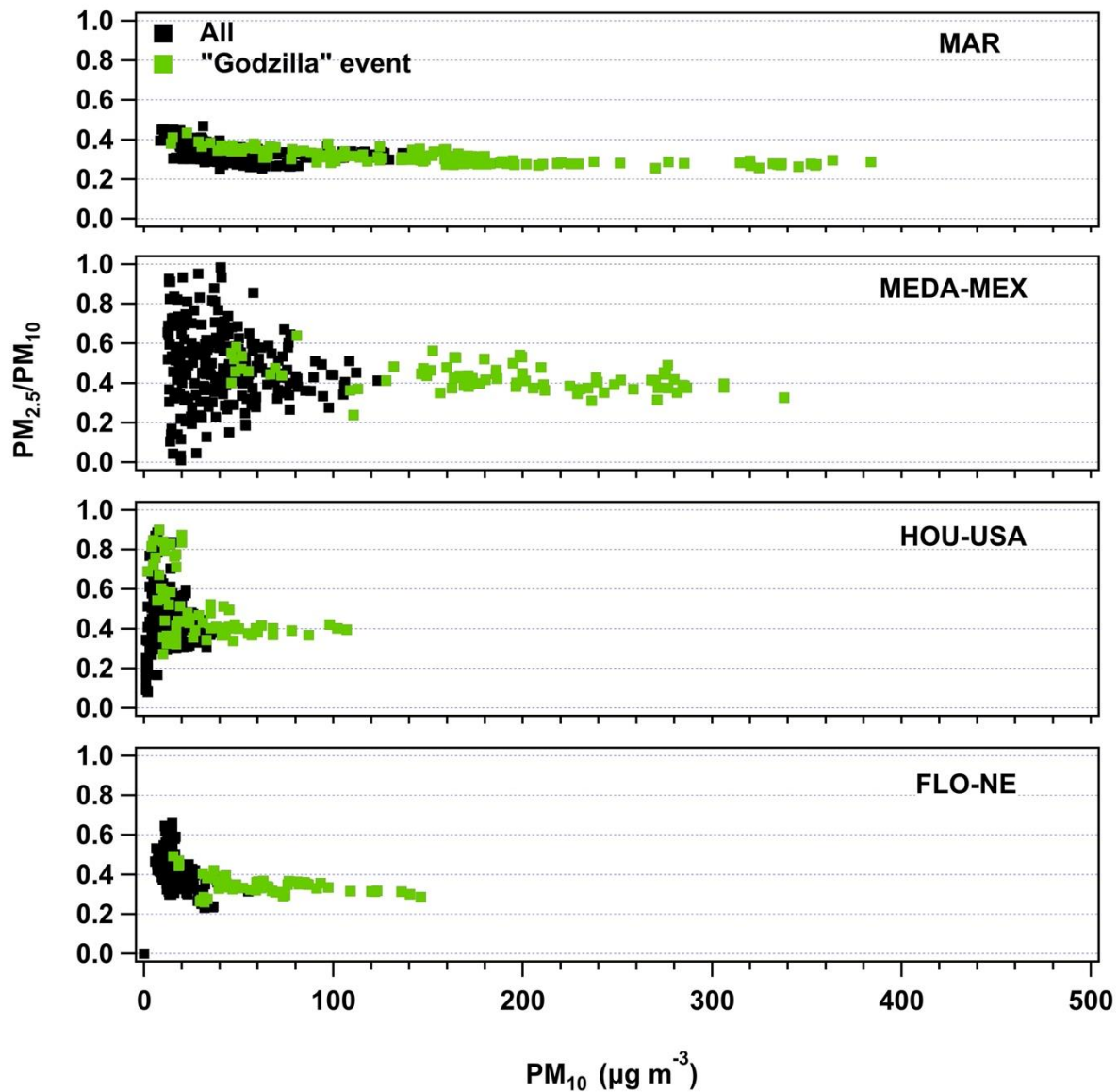


**Figure 14.** Lagrangian path (top to bottom) of the Godzilla dust event represented by PM<sub>10</sub> (a) and PM<sub>2.5</sub> (b) mass concentrations observed at the GCB sites. The gray-shaded region in the background represents the duration of the two main dust events at the different stations. The red horizontal lines refer to the 24-h PM<sub>10</sub> and PM<sub>2.5</sub> guidelines of EPA (solid line) and WHO (dashed line).

	CAY	TRI	TOB	PM <sub>10</sub> (US-EPA: 150 µg/m <sup>3</sup> )					
				MAR	GUA	CSJ-PR	MEDA_MEX	HOU-USA	FLO-NE
Days	0	1	5	4	2	3	3	0	0
Mean (µg/m <sup>3</sup> )	0	173	275	192	196	274	185	0	0
factor	0	1	2	1	1	2	1	0	0
PM <sub>10</sub> (WHO: 45 µg/m <sup>3</sup> )									
Days	0	14	14	10	6	9	6	3	2
Mean (µg/m <sup>3</sup> )	0	93	166	120	116	147	133	86	66
factor	0	2	4	3	3	3	3	2	1
PM <sub>2.5</sub> (US-EPA: 35 µg/m <sup>3</sup> )									
Days	--	11	14	4	--	--	5	1	0
Mean (µg/m <sup>3</sup> )	--	58	68	56	--	--	62	49	0
factor	--	2	2	2	--	--	2	1	0
PM <sub>2.5</sub> (WHO: 15 µg/m <sup>3</sup> )									
Days	--	14	14	6	--	--	7	4	2
Mean (µg/m <sup>3</sup> )	--	52	68	48	--	--	52	32	29
factor	--	3	5	3	--	--	3	2	2

**Table 2.** Duration (number of days above the WHO PM guidelines) that Godzilla dust plume impacted the regional air quality at locations in the GCB. Shown are the observed daily mean mass concentrations (PM<sub>10</sub> and PM<sub>2.5</sub>) and exceedance factors (US EPA and WHO) of the Godzilla dust plume during June 18 to July 21, 2020.

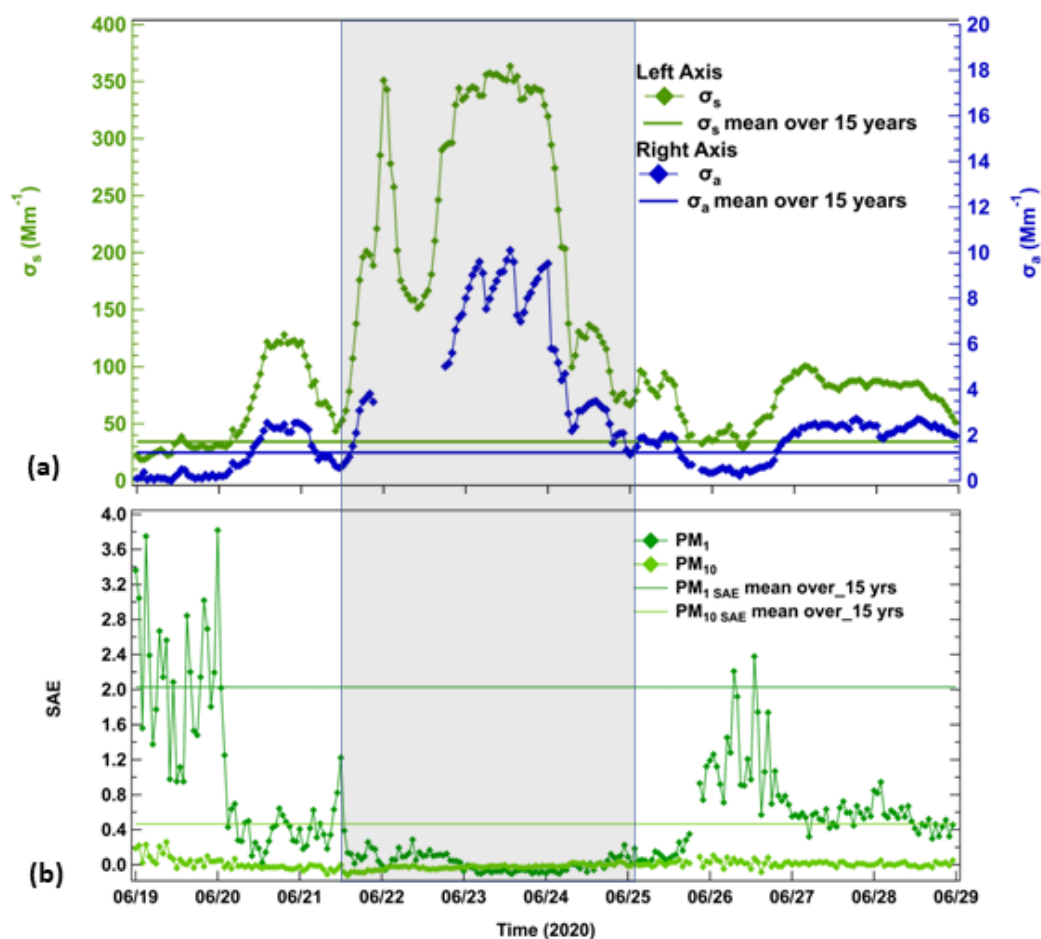
The ratio of PM<sub>2.5</sub> to PM<sub>10</sub> mass concentration (PM<sub>2.5</sub>/PM<sub>10</sub>) was remarkably constant during Godzilla (Fig.15) in the GCB region. At the peak of Godzilla (June 22 and 23 at MAR) when PM<sub>10</sub> was at moderate-to-high values, the PM<sub>2.5</sub>/PM<sub>10</sub> was low, about 0.3, when PM<sub>10</sub> was at moderate-to-high values showing that the event was dominated by coarse particles. The ratio was quasi constant which suggests that the dust was well-mixed across the impacted region and may also indicate there is little variation in the dust size distribution following transport consistent with findings by Denjean et al. (2016). The Martinique measurements are most representative of the Godzilla dust properties because the site is located on the east coast of the island, and it is minimally impacted by local or regional sources. However, at the height of the event a somewhat higher ratio (about 0.4), was measured at sites where there are substantial local pollutant aerosol sources, e.g., Houston and Mexico (HOU-USA and MEDA-MEX, Fig. 15). At these sites the dispersion of the ratio at lower PM concentrations is evidence of local source impacts. It is notable that during Godzilla, PM<sub>2.5</sub> increased along with PM<sub>10</sub>. Thus, dust PM<sub>2.5</sub> is a significant factor in terms of air quality concerns.



**Figure 15.** Ratio of fine ( $PM_{2.5}$ ) to coarse ( $PM_{10}$ ) particle mass as a function of  $PM_{10}$  during the peak of the Godzilla dust event (green squares, e.g., June 19-24 at MAR ) and for the whole period of study (black shading) between June 18 and July 2, observed at Martinique (MAR), Merida-Mexico (MEDA-MEX), Houston (HOU-USA), and Florida- Jacksonville (Florida-NE).

In Puerto Rico at CSJ,  $PM_1$  and  $PM_{10}$  aerosol scattering and absorption were continuously measured in June 2020 using an integrating nephelometer and a CLAP. The dominance of coarse particles in Godzilla is evident. When scattering and absorption coefficients were at maximum (Fig. 16a) during the peak of the event, the

scattering Ångström exponent (SAE) was at its minimum with values close to zero (Fig. 16b), which is evidence of the dominance of coarse mode particles.



**Figure 16.** (a) Aerosol optical data for Puerto Rico. Scattering coefficient ( $\sigma_s$ ) at 550 nm (primary x-axis), absorption coefficient ( $\sigma_a$ ) at 528 nm (secondary x-axis). The horizontal lines represent the 15-year mean for scattering (green) and absorption (blue); (b) scattering Ångström exponent (SAE for  $\text{PM}_{10}$  and  $\text{PM}_{2.5}$ ). The horizontal lines represent the 15-year mean of SAE for both size cuts. SAE is calculated using the 450 and 700 nm scattering measurements. The gray-shaded region represents the duration of the intense main dust event at CSJ-PR.

### 3.6 Godzilla as a Record Breaker of In-situ Aerosol Optical Properties, AOD, PM, and Dust Surface Concentrations

**In-situ Aerosol Optical Properties.** Record levels of aerosol scattering and absorption were measured at CSJ-PR throughout the event (Fig. 16a). Scattering was a factor of 10 higher and absorption a factor of 8 higher relative to the long-term record at the site. The 15-year daily mean PM<sub>10</sub> scattering and absorption coefficients are  $34.4 \pm 23.6 \text{ Mm}^{-1}$  and  $1.3 \pm 1.7 \text{ Mm}^{-1}$ , respectively, while the maximum green wavelength scattering and absorption coefficients observed were  $364 \text{ Mm}^{-1}$  and  $10 \text{ Mm}^{-1}$ , respectively. The coincidence of the very low PM<sub>1</sub> and PM<sub>10</sub> scattering Ångström parameters during the event highlights the very distinctive character of the African dust outbreak (Fig. 16b). The impact of the Godzilla dust plume on in-situ aerosol optical properties was more nuanced at sites further from the main plume (e.g., at Houston, Illinois, and North Carolina, Mehra et al. 2023).

**MODIS and MISR Aerosol Optical Depth.** MODIS and MISR have been measuring atmospheric aerosol properties since 2000. The top panel of Fig. S10 shows a time series of the daily mean MODIS AOD over the Caribbean, defined here as a box extending from 15°N to 25°N and 60°W to 80°W. The daily mean is derived from MODIS Terra and Aqua using the Dark Target and Deep Blue AOD retrievals over ocean and land, respectively. All Terra and Aqua MODIS Level 2 AOD retrievals from all MODIS granules that fall within the Caribbean latitude and longitude box on a particular day are simply averaged. For simplicity, we refer to this as the daily mean AOD, even though it is not a true daily mean since it only includes local times sampled by the MODIS instruments over our Caribbean box that are governed by the wide swath of MODIS and the 10:30 AM and 1:30 PM equator crossing time orbits of Terra and Aqua, respectively, during daylight. Godzilla stands out as a record high in the AOD time series, with a maximum mean AOD = 1.1 which occurred on June 22 and 23, 2020. The remaining panels in Fig. S10 show the monthly regional mean values of AOD segregated by aerosol size and shape measured by MISR: small (particle radii < 0.35  $\mu\text{m}$ ), medium (0.35 to 0.7  $\mu\text{m}$ ) and large (> 0.7  $\mu\text{m}$ ) particles. The AOD data are also



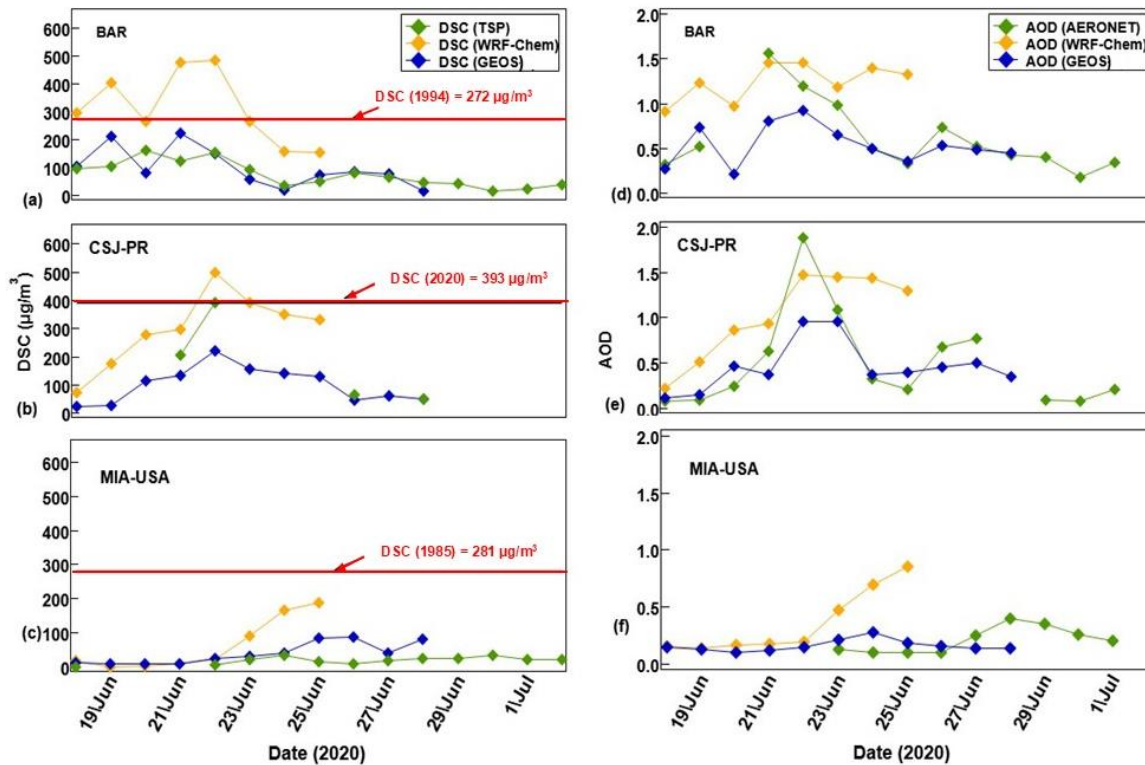
segregated into spherical and non-spherical particles. The MISR monthly regional mean values of total AOD attained a maximum value of 0.4 on June 2020, which is 0.12 higher than all other Junes in the MISR record. Figure S10 shows that this record-setting monthly regional mean AOD value is primarily driven by the AOD values of non-spherical medium and large-sized particles – a clear signature of desert dust (e.g., Dey and Di Girolamo 2010). The strong seasonal cycle of this dust signature stands out in the MISR record.

**AERONET Aerosol Optical Depth.** The daily average coarse mode AOD (NASA's AERONET data) measured at sites in the GCB from 2005 to 2020 is shown in Fig. S11a. During Godzilla, record high values were observed at most stations (e.g., BAR, GUA, CSJ-PR, and FLO-NW), with the 90th percentiles of the daily maximum coarse mode AOD significantly higher than for other years. In contrast, MIA-USA was only lightly impacted. Among these stations, BAR, GUA, CSJ-PR, and FLO-NW registered the highest daily maximum coarse mode AODs 1.2, 1.4, 1.5, and 1.05, respectively. CSJ-PR recorded the highest daily maximum coarse mode AOD (1.5) because the core of Godzilla passed over the site. Similar to coarse mode AODs, the 90<sup>th</sup> percentile of the fine mode daily maximum AODs also significantly increased during the passage of Godzilla (Fig. S11b). The fine mode AOD at BAR, GUA, CSJ-PR, MIA-USA, and FLO-NW were 0.38, 0.53, 0.43, 0.1, and 0.41, respectively. GUA and CSJ-PR recorded the highest daily maximum fine mode AODs based on their 12- and 14-year historical records, respectively.

**Surface PM Concentrations.** Godzilla yielded record values of PM<sub>10</sub> and PM<sub>2.5</sub> in 2020 (Fig. S12). The highest daily mean PM<sub>10</sub> values were recorded over Martinique (MAR), Puerto Rico (CAT-PR), Mexico (MEDA-MEX), and FLO-NE: 296, 453, 252, and 99  $\mu\text{g}/\text{m}^3$ , respectively, as shown in Fig. S12a. The record of PM<sub>2.5</sub> is shown in Fig. S12b. At MAR, Puerto Rico (GUAY+MAYA-PR), MEDA-MEX, and FLO-NE, the highest concentrations of PM<sub>2.5</sub> were 71, 256, 101, and 45  $\mu\text{g}/\text{m}^3$ , respectively. Puerto Rico experienced the highest PM<sub>10</sub> and PM<sub>2.5</sub> measured in the last 17 years (2004-2020) and the highest PM concentrations compared to all the locations considered in this study.

**Dust Surface Concentrations.** Total daily dust surface concentrations (DSC) were measured using the TSP filter samples collected at BAR, CSJ-PR, and MIA-USA (Fig. 17 a, b and c). During Godzilla, the highest dust concentrations at BAR and CSJ-PR were 161 and 393  $\mu\text{g}/\text{m}^3$  on June 20 and June 22, respectively (Fig. 17a and b). Concurrently, BAR and CSJ-PR observed record values of AERONET AOD. These were 1.5 (June 21) and 2.0 (June 22) for BAR and CSJ-PR, respectively. In contrast, MIA-USA did not record high concentrations during the passage of the event and the surface-measured AOD showed only a small enhancement (0.4) on June 28 (Fig. 17c).

When measured Godzilla DSC are compared with the historical record at MIA-USA, CSJ-PR and BAR, the highest concentration (393  $\mu\text{g}/\text{m}^3$ ) during the past 35 years was observed at CSJ-PR (Fig 17). At BAR, DSC-TSP were high but not at the record levels that were observed at the height of the African drought in the 1980s (Prospero and Lamb, 2003). At MIA-USA, DSC were quite modest, reflecting the fact that the core of the Godzilla transport path was located well to the south of Miami in the early stages of the event and to the north of Miami, over Georgia and north Florida, in the last days of the event. At BAR the highest previous DSC (272  $\mu\text{g}/\text{m}^3$ ) was measured in April 1994 (Zuidema et al. 2019). At MIA-USA the highest DSC (281  $\mu\text{g}/\text{m}^3$ ) was recorded in November 1985 at the peak of the drought in North Africa (Prospero and Lamb, 2003), similar to the case with the BAR record.



**Figure 17.** Dust mass surface concentrations measured (DSC, TSP, green) and modeled (DSC, WRF-CHEM, yellow; GEOS, blue) at BAR (a), CSJ-PR (b), and MIA-USA (c) during June 18 - July 2. The red horizontal lines represent the highest registered measured DSC at (a) BAR (272  $\mu\text{g}/\text{m}^3$  in April 1994), (b) CSJ-PR (393  $\mu\text{g}/\text{m}^3$  in June 2020), and (c) MIA-USA (281  $\mu\text{g}/\text{m}^3$  in November 1985). BAR and MIA-USA concentrations are based on the historical records (Zuidema et al. 2019). CSJ-PR is based on the US-EPA historical records in Puerto Rico and the CSJ-PR data. Dust model AOD outputs initiated on June 18 by WRF-Chem (yellow) and GEOS (blue) along with AOD from AERONET (green) for BAR (d), CSJ-PR (e), and MIA-USA (f) for June 18-28. DSC (a, b and c) and AOD (d, e and f) forecasts are seven days (3-hour resolution) for WRF-CHEM and ten days (1-hour resolution) for GEOS.

#### 4. Value of the Integrative Approach

Kahn et al (2023) articulated a need for an integrative approach combining satellite observations, ground-based and airborne measurements, and aerosol and climate modeling to close the gap in global aerosol forcing uncertainty. A similar argument could be made for the particular case of African dust transport across the Atlantic and into the Caribbean. Indeed, although there have been numerous studies reporting airborne

observations of dust properties (e.g., DeMott et al. 2003, Nowottnick et al. 2011, Jung et al. 2016), most have been incidental other flight campaign objectives and not since the 2000 Puerto Rico Dust Experiment (PRIDE, Reid et al. 2003) has there been a dedicated airborne campaign in this region, and even that lacked detailed ground-based measurements. Fundamental questions about factors controlling of the dust vertical distribution remain more or less where they have been for over twenty years (e.g., Reid et al. 2002, Colarco et al. 2003), and the more recent appreciation of long-range transport of coarse dust particles (e.g., Ryder et al. 2018, Weinzierl et al. 2017) and their potential impacts on radiative forcing and human health (Adebisi et al. 2023) further bolster the need for a coordinated observation and modeling strategy in this region that takes advantage of new remote sensing, in situ, and modeling tools that were not available during PRIDE. CALIMA is a step toward this integrative approach, but if anything, the need is amplified by its fortuitous observation of the Godzilla extreme dust event.

CALIMA used a regional approach to study the impact of African dust on air quality in the GCB and beyond. It integrated satellite observations with measurements in a network of twelve surface-based sites along with models to characterize the spatial and temporal variability of dust transport (Fig. 18). The combination of the ground-based measurements with the satellite observations allowed a better understanding of the transport of African dust into the region and its impacts on air quality. Satellites alone cannot make these observations. Space-based lidar coverage is sparse, and the better coverage of imagers provides primarily an AOD product, which does not tell where (vertically) the aerosol is.

This point bears emphasis where modeling is considered, because present models that assimilate aerosol observations in near-real time are primarily assimilating AOD-based products. The ground-based observations CALIMA provides are thus crucial to improving aspects of the modeling. They provide a way to connect the column (AOD) to the surface (DSC) that is not possible from the satellites alone. For example, DSC and AOD were predicted using WRF-Chem and GEOS for BAR, CSJ-PR, and MIA-USA

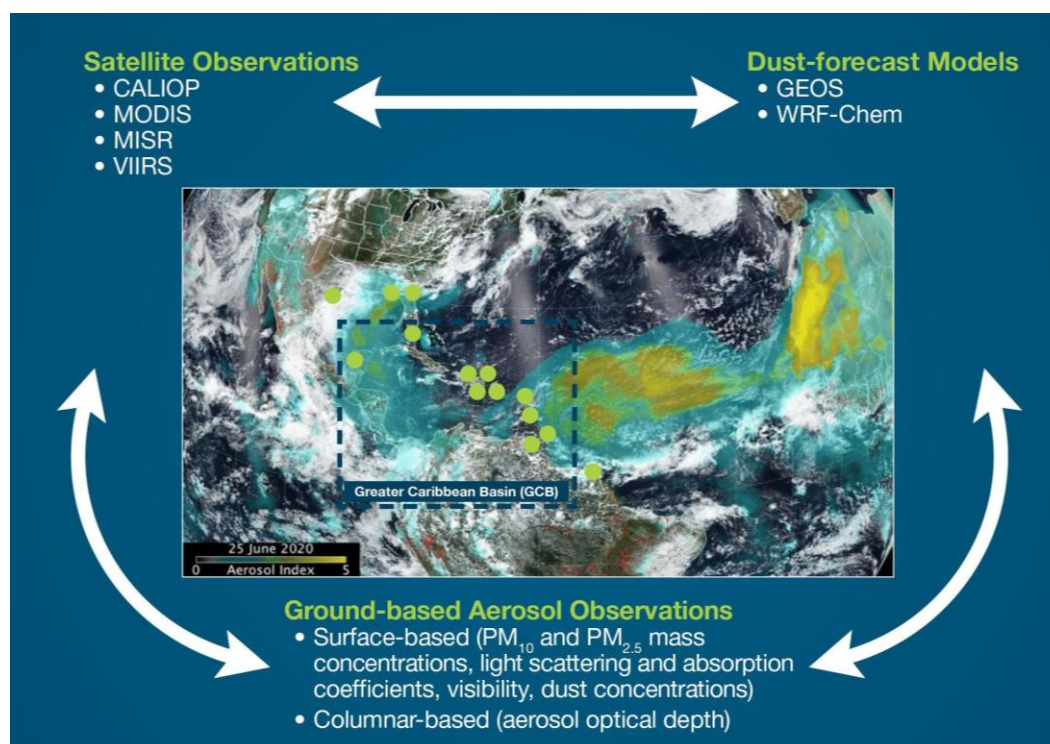
(Table 3, Fig. 17 d, e, and f). The DSC predicted by WRF-Chem was about a factor of two to three higher than the GEOS DSC at all stations. WRF-Chem and GEOS DSC for BAR and CSJ-PR showed better agreement (correlation coefficients ( $r$ ): 0.5-0.9) with the dust concentrations than for AOD. Nonetheless, both models showed good correlations ( $r$ : 0.6-0.7) with the AOD observed by AERONET (Table ST1). WRF-Chem simulated AOD was 1.5 to 3 times higher than AOD predicted by GEOS.

Stations	Maximum AOD				Maximum DSC ( $\mu\text{g}/\text{m}^3$ )			
	WRF-Chem, date	GEOS, date	AERONET, date	Ratio (WRF-Chem/GEOS)	WRF-Chem, date	GEOS, date	TSP, date	Ratio (WRF-Chem/GEOS)
BAR	1.46, June 21	0.92, June 22	1.5, June 21	1.58	484, June 22	149, June 22	161, June 20	3.25
CSJ-PR	1.47, June 22	0.96, June 23	2.0, June 22	1.53	500, June 22	220, June 22	393, June 22	2.27
MIA-USA	0.86, June 25	0.28, June 24	0.4, June 28	3.07	189, June 25	89, June 26	34, June 30	2.12

**Table 3.** Maximum AOD and DSC predicted by models (WRF-Chem and GEOS), AERONET AOD, and DSC from TSP filter analyses collected for the stations BAR, CSJ-PR, and MIA-USA during the Godzilla dust event.

The Caribbean Basin provides an ideal site in which to study the long-range transport of African dust and the factors controlling African dust emissions and their long-range impact on health and environmental properties and processes. Excluding a few sites impacted by local sources of dust, the dominant dust in the region is unquestionably from Africa. There is no other distal region that is so frequently and consistently impacted by African dust. Therefore, it is an ideal region in which to monitor emissions from the world's largest dust source and to use these data to further develop that models that will be needed to assess the impact of dust on public health and to be able to issue health alerts.





**Figure 18.** Progression and extent of the Godzilla dust event, ground-based CALIMA and non-CALIMA stations, ground-based and satellite observations, and dust forecast models. Source for the satellite image: OMPS aerosol index and the VIIRS visible image both from NASA/NOAA's Suomi NPP satellite on June 25.

## 5. Conclusions.

Measurements of African dust during Godzilla and in previous studies raise concerns about the impact of dust on public health and the ability of public health officials to anticipate dust events with sufficient skill to be able to issue alerts with confidence so that susceptible individuals can take precautions. While the GEOS and WRF-Chem models used in CALIMA showed some skills in predicting surface and columnar dust properties during Godzilla, the results revealed large differences between these surface dust forecasts, an issue that needs to be addressed before they can be reliably used for early warnings to protect public health. Although our study is limited to two models, our results are indicative of a general problem in the development of dust models. A recent intercomparison of 16 climate models for instance, shows that estimated global dust emissions differed by a factor of five (Zhao et al. 2022).

The Godzilla event demonstrates the value of using a regional approach to aerosol studies, one that integrates satellite observations with measurements in a network of surface-based sites along with models to characterize the spatial and temporal variability of dust transport. At present, forecast models that assimilate aerosol data are generally constrained only by measurements of column aerosol optical depth. There is a need to evaluate and calibrate these models using surface-level observations of dust properties including optical properties which are important to understanding the role of dust in climate forcing. Such studies will also be essential for us to be able to follow changes in dust transport linked to climate variability. Although this paper focuses on the impact of African dust on a specific region, the tracking of African dust activity is important in a larger sense because North Africa is the world's largest dust source, accounting for 28-69% of global dust emissions (Zhao et al. 2022). Thus, changes in dust transport and properties to the Caribbean Basin can serve as an indicator of African emissions relevant to the global dust loading and its impacts on downwind regions.

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### **Data Availability Statement.**

The Puerto Rico ground-based aerosol data (particle concentrations, scattering and absorption are available on the EBAS webpage ( [HYPERLINK "https://ebas-data.nilu.no/";](https://ebas-data.nilu.no/) last access: 10 March 2024). PM<sub>10</sub> and PM<sub>2.5</sub> data from CAY, TRI, TOB, MAR, GUA, MEDA-MEX, HOU-USA, FLO-NE, CSJ-PR, CAT-PR, GUAY+MAYA-PR are available on request to the corresponding author and also available from

[HYPERLINK "https://www.epa.gov/outdoor-air-quality-data/air-data-concentration-plot"](https://www.epa.gov/outdoor-air-quality-data/air-data-concentration-plot), [HYPERLINK "https://www.iqair.com/air-quality-map?lat=18.46633&lng=-66.10572&zoomLevel=10"](https://www.iqair.com/air-quality-map?lat=18.46633&lng=-66.10572&zoomLevel=10). The Miami/Barbados lidar data are available upon request from PZ and IS respectively. AERONET data from Barbados, Guadeloupe, Puerto Rico, Tallahassee-Florida, and Miami are available from (AERONET website). The CALIOP aerosol products were obtained from the NASA Langley Research Center Atmospheric Science Data Center ([https://doi.org/10.5067/CALIOP/CALIPSO/CAL\\_LID\\_L2\\_05kmAPro-Standard-V4-21](https://doi.org/10.5067/CALIOP/CALIPSO/CAL_LID_L2_05kmAPro-Standard-V4-21), NASA/LARC/SD/ASDC, 2018). The MODIS Level 2 aerosol products are archived by NASA and freely available to the public at

[HYPERLINK "https://www.earthdata.nasa.gov/"](https://www.earthdata.nasa.gov/)). MISR Level 3 aerosol products are archived by NASA and freely available to the public at [HYPERLINK "https://www.earthdata.nasa.gov/"](https://www.earthdata.nasa.gov/). MISR Level 3 aerosol products are archived by NASA and freely available to the public at <https://www.earthdata.nasa.gov/>. GEOS forecast data were downloaded from [https://fluid.nccs.nasa.gov/missions/custom\\_mission%2BPRDUST/?fcst=20240327T060000&tau=000&stream=G5FPFC&level=0&field=fineaot&region=prdust\\_i](https://fluid.nccs.nasa.gov/missions/custom_mission%2BPRDUST/?fcst=20240327T060000&tau=000&stream=G5FPFC&level=0&field=fineaot&region=prdust_i). CIMH WRF-Chem model inquiries and requests at <https://dafc.cimh.edu.bb/contact/>. Any other

CALIMA are available from the corresponding author upon reasonable request (omayol@bnl.gov).

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