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Long-term validation of total and tropospheric column-averaged CH_4 mole fractions obtained by mid-infrared ground-based FTIR spectrometry

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Abstract

At the Izaña Atmospheric Research Center high-resolution middle infrared solar absorption spectra have been recorded for more than 12 years using Fourier Transform InfraRed (FTIR) spectrometers. We use the spectral fitting algorithm PROFFIT to retrieve long-term time series of methane (CH_4) from the measured spectra. We investigate the total column-averaged dry-air mole fractions of methane (totXCH₄) obtained from a scaling and a profile retrieval, and apply two approaches for deriving the tropospheric column-averaged dry-air mole fractions: firstly, we use the FTIR Hydrogen Fluoride (HF) total column amounts as an estimator for the stratospheric CH₄ contribution and a posteriori correct the totXCH₄ data of a profile scaling retrieval accordingly (troXCH₄_{post}), and secondly, we directly determine the tropospheric column-averaged dry-air mole fractions of methane (troXCH₄_{retr}) from retrieved CH₄ profiles. Our theoretical estimation indicates that the scaling retrieval leads to totXCH₄ amounts that are subject to a large smoothing error, which can be widely avoided by applying a profile retrieval (for the latter we estimate an overall precision of 0.41 %).

We compare the different FTIR CH₄ data to Izaña's Global Atmospheric Watch (GAW) surface in-situ CH₄ data (CH₄_{GAW}), which in the case of the Izaña Atmospheric Research Center high mountain observatory are well representative for the free tropospheric CH₄ amounts. Concerning totXCH₄ the agreement between the FTIR data product and the in-situ measurement is rather poor documenting that totXCH₄ is no valid free tropospheric CH₄ proxy instead it is significantly affected by the varying stratospheric CH₄ contribution and it rather follows the variation in the tropopause altitude. The a posteriori correction method only removes a part of this stratospheric CH₄ contribution. On the contrary the profile retrieval allows for a direct estimation of the tropospheric column-averaged CH₄ amounts. This troXCH₄_{retr} data correlates well with the CH₄_{GAW} data (correlation coefficient of 0.60, FTIR-GAW scatter of 0.97 %) and both data show very similar annual cycles and trend behaviour (for the 2001–2010 time period). Furthermore, we find a very good absolute agreement between the troXCH₄_{retr}

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and CH_4_{GAW} (middle infrared FTIR/GAW scaling factor of 0.9987) suggesting that middle infrared FTIR data can be well combined with the surface in-situ GAW data.

Our study proves the significance of middle infrared ground-based FTIR CH_4 profile retrievals as well as the robustness of the approach for achieving total and tropospheric column-averaged $X\text{CH}_4$ data of high quality.
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1 Introduction

Methane (CH_4) is the second most important anthropogenic greenhouse gas (GHG), after carbon dioxide (CO_2). While CH_4 is 200 times less abundant than CO_2 , it is about 20 times more efficient than CO_2 to trap outgoing long wave radiation, on a 50 years 10 timescale. The change in the CH_4 mixing ratio since pre-industrial times (1750) to 2005 (from 715 to 1774 ppb) gives a radiative forcing (RF) of $+0.48 \pm 0.05 \text{ W m}^{-2}$, ranking CH_4 as the second highest RF of the GHGs after CO_2 (RF of CO_2 in 2005, $1.66 \pm 0.17 \text{ W m}^{-2}$; IPCC, 2007). In 2009 CH_4 global atmospheric concentrations have reached more than 1780 ppb for column-averaged mole fractions on global average in 15 2009 (Frankenberg et al., 2011). At surface stations higher annual average values are registered (e.g. 1830 ppb at the Izaña's Global Atmospheric Watch, GAW, station in 2009, Gomez-Pelaez, 2010).

CH_4 plays an important role in atmospheric chemistry, affecting the oxidizing capacity of the atmosphere and acting as a precursor of tropospheric ozone (O_3). The main 20 sources producing methane are considered to be: Biogenic CH_4 formation that occurs in natural wetlands, water-flooded rice paddies, landfills and stomachs of ruminant animals, incomplete burning of biomass, oceans and vegetation. Further sources are release from melting permafrost and from shallow hydrates on the continental shelf (Dlugokencky et al., 2009). Thermogenic formation is the main process for generation 25 of natural gas deposits over geological time scales, parts of this inventory is released into the atmosphere due to fossil fuel extraction, processing, transportation and distribution (Keppler et al., 2006; Frankenberg et al., 2005). The main sink of atmospheric

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In this work we present, discuss, and validate different ground-based FTIR CH₄ products: the total column-averaged volume mixing ratio (totXCH₄), and two tropospheric column averaged volume mixing ratios (troXCH₄): a first derived by a posteriori correction method using HF as indicator for the stratospheric contribution (similar to Washenfelder et al., 2003), and a second directly retrieved from the measured spectra.

In the following Sect. 2 we describe the CH₄ program at the Izaña Atmospheric Research Center: the GAW in-situ and FTIR activities. In Sect. 3 we present the FTIR technique and the FTIR CH₄ products. We describe the data analysis method and document the characteristics of the FTIR data (sensitivity and uncertainty). In Sect. 4 the different FTIR CH₄ products are compared to the GAW surface CH₄ measurements and Sect. 5 summarizes our study.

2 CH₄ program at the Izaña Atmospheric Research Center

2.1 Site description

Izaña is a subtropical high mountain observatory located on the Canary Island of Tenerife, 300 km from the African west coast at 28°18' N, 16°29' W at 2370 m a.s.l. It is part of the Meteorological State Agency of Spain (Spanish acronym: AEMET) and it is run by the Izaña Atmospheric Research Center. It is a global station of the WMO (World Meteorological Organisation) network of GAW (Global Atmospheric Watch) stations and has a comprehensive measurement program of a large variety of different atmospheric constituents. More detailed information can be found in the official webpage of the Izaña Atmospheric Research Center: <http://www.izana.org>.

The Izaña Observatory is usually located above a strong subtropical temperature inversion layer (generally well established between 500 and 1500 m a.s.l.). While during daytime the strong diurnal insolation generates a slight upslope flow of air originated from below the inversion layer (from a wood that surrounds the station at a lower

altitude), during night-time the Izaña Observatory is well representative of the free troposphere (or at least of the lower levels of the free troposphere, see Fig. 1).

2.2 In-situ measurement program

Continuous surface in-situ measurements of atmospheric CO₂ and CH₄ have been carried out at Izaña station since 1984. Furthermore, CO concentrations have been measured since 1998 and N₂O and SF₆ since 2007.

CH₄ mole fraction is measured using a DANI 3800 gas chromatograph. The carrier gas is synthetic air. Ambient air is cooled to –70 °C to partially remove water vapour content before flowing towards the sample loop (10 ml size). Sample loop temperature is not regulated. A self-developed software integrator provides the area and height of the CH₄ peak in the chromatogram. See Gomez-Pelaez et al. (2011), and references therein, for more details about the measurements and technique. The most recent World Calibration Centre (WCC-Empa) system and performance audit for CH₄ at Izaña was carried in 2009 and documents the good quality of the Izaña CH₄ in-situ data (Zellweger et al., 2009). This good data quality is also confirmed by the continuous comparison to NOAA data obtained from simultaneously collected weekly flask samples (Gomez-Pelaez et al., 2012).

2.3 FTIR measurement program

Ground-based FTIR activities started at Izaña Observatory in the late 1990s in the framework of a collaboration between AEMET and KIT (Karlsruhe Institute of Technology, Germany). In 1999 KIT scientists installed a Bruker IFS 120M instrument at Izaña. In early 2005 KIT substituted this spectrometer by a Bruker IFS 125HR. During March-April of 2005 both instruments were running side-by-side. The Izaña FTIR experiment is involved in two global networks: since 1999 it has contributed to the Network for the Detection of Atmospheric Composition Change (NDACC, <http://www.ndacc.org>) and since 2007 to the Total Carbon Column Observing Network

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(TCCON, <http://www.tccon.caltech.edu>). For NDACC solar absorption spectra are measured in the middle infrared (MIR) spectral region ($740\text{--}4250\text{ cm}^{-1}$; corresponding to $13.5\text{--}2.4\text{ }\mu\text{m}$) and for TCCON in the near infrared (NIR) spectral region ($3500\text{--}14\,000\text{ cm}^{-1}$; corresponding to $2.9\text{--}0.7\text{ }\mu\text{m}$). The applied high resolution FTIR spectrometer allows for a detailed observation of the pressure broadening effect, i.e. the absorption line width of an atmospheric absorber depends on the pressure (and thus altitude) where the absorption takes place. Therefore, one can retrieve concentration profiles of the atmospheric absorbers in addition to total column abundances. The Instrumental Line Shape (ILS) also affects the observed line shape and in particular for the profile retrievals a continuous monitoring of the ILS is important. At Izaña we determine the ILS about every 2 months by low pressure gas cell (HBr and N₂O) measurements and the LINEFIT software (LINEFIT code, Hase et al., 1999). The respective LINEFIT results are then applied in the atmospheric retrievals.

CH₄ has absorption lines in both the MIR and NIR spectral regions. In this study we present CH₄ retrieved from NDACC MIR spectra.

3 Ground-based FTIR technique and CH₄ products

3.1 General setup of a ground-based FTIR analysis

Ground-based NDACC FTIR systems measure solar absorption spectra, under clear sky conditions, applying a high resolution Fourier Transform Spectrometer (typical resolution of 0.005 cm^{-1} ; maximum optical path difference, OPD_{max} of 180 cm). The measured spectra are simulated by a precise line-by-line radiative transfer model that applies the parameters of a spectroscopic database (e.g. HITRAN, Rothman et al., 2009). The basic equation for analyzing the solar absorption is the Lambert Beer's law:

$$I(\lambda) = I_{\text{sun}}(\lambda) \cdot \exp \left(- \int_{\text{TOA}}^{\text{Obs}} \sigma_x(\lambda, s(T, p)) \cdot x(s) \, ds \right) \quad (1)$$

where $I(\lambda)$ is the measured intensity at wavelength λ , I_{sun} the extraterrestrial solar intensity, $\sigma_x(\lambda, s)$ is the absorption cross section and $x(s)$ the concentration of an absorber x at location s . The integration is performed along the path of the direct sunlight (between the Observer, Obs, and the Top Of the Atmosphere, TOA). At higher wavenumbers (above 1500 cm^{-1}) atmospheric self-emission can be neglected as compared to direct solar radiances.

For the purpose of numerical handling, the atmospheric state $x(s)$ and the simulated spectrum $I(\lambda)$ are discretized in form of a state vector x and a measurement vector y . The measurement and state vector are related by a vector valued function F which simulates the atmospheric radiative transfer and the characteristics of the measurement system (spectral resolution, instrumental line shape, etc.): $y = F(x)$. The derivatives $\partial y / \partial x$ determine the changes in the modeled spectral fluxes K : $\partial y = K \partial x$. Direct inversion of this last equation would allow an iterative calculation of the sought variables x . However, the problem is generally ill-determined, i.e. the columns of K are not linearly independent and there are many solutions that are in acceptable agreement with the measurement. Thus, the solution has to be properly constrained. An extensive treatment of this topic is given in the textbook of C. D. Rodgers (Rodgers, 2000). We apply the retrieval code PROFFIT and the included radiative transfer code PROFFWD to accomplish our analysis (Hase et al., 2004).

20 3.2 The CH₄ retrieval strategy

Currently the establishment of an improved NDACC CH₄ retrieval guideline is under discussion. The objective is an NDACC CH₄ product that approaches the high precision requirements of TCCON (a few permil). At some stations NDACC measurements are performed since the early 1990s and high quality NDACC CH₄ data could well complement the TCCON time series, which are limited to the last few years.

Our CH₄ retrieval strategy is a modification of the current official NDACC retrieval guideline and includes a set of 4 microwindows containing strong, unsaturated, and isolated CH₄ lines (see Fig. 2 and Table 1). Besides CH₄ we have considered

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spectroscopic signatures of 7 interfering species. For the target species CH₄ and the interfering species CO₂, O₃, N₂O, NO₂ and HCl we have applied spectroscopic parameters from HITRAN 2008 (Rothman et al., 2009), while for H₂O and OCS we have applied the recent HITRAN 2009 update.

- 5 As a-priori profiles of the interfering species we apply the climatological entries from WACCM (The Whole Atmosphere Community Climate Model) provided by NCAR (National Centre for Atmospheric Research, J. Hannigan, private communication, 2009). For the minor interfering species (O₃, N₂O, HCl and OCS) we simply simulate the spectral signatures according to the WCCAM concentrations. For the major absorbers CO₂
10 and NO₂ we scale the WCCAM profiles during the CH₄ retrieval process and the H₂O interferences are accounted for by a two step strategy: first we perform a dedicated H₂O retrieval (Schneider et al., 2010a) and then we scale the retrieved daily mean H₂O profile in the subsequent CH₄ retrieval process. Thereby we minimise the interferences due to H₂O and HDO. Such interferences have been investigated in recent
15 studies applying different sets of microwindows. The best strategy to minimize the H₂O impact is currently under discussion (Sussmann et al., 2011; Hase et al., 2011). Izaña is a rather dry high-altitude site, so the H₂O interference problem is less severe than for low latitude sites at sea-level. We expect that our results are transferable to at least other high altitude or polar sites of the NDACC.
20 Furthermore, we fit the continuum background slope and the residual ILS asymmetry. We use the NCEP analysis (National Centers for Environmental Prediction) at 12:00 UT as the temperature and pressure input profiles.

- We examine two different CH₄ fitting procedures. A first consists in scaling the CH₄ WACCM a-priori profile (in the following referred to as scaling retrieval, SR) and a second retrieves CH₄ profiles (profile retrieval, PR) whereby a Tikhonov-Phillips method on a logarithmic scale is applied (Hase, 2000; Hase et al., 2004; Schneider et al., 2006).
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3.3 The FTIR CH₄ products

3.3.1 Total column-averaged dry air mole fraction (totXCH4)

The totXCH4 is calculated dividing the CH₄ total column by the Dry Pressure Column (DPC) above Izaña. The DPC is calculated converting the ground pressure to column air concentration:

$$DPC = \frac{P_s}{m_{\text{dry air}} \cdot g(\varphi)} - \frac{m_{H_2O}}{m_{\text{dry air}}} \times H_2O_{\text{col}} \quad (2)$$

being P_s the surface pressure at Izaña ground level, $m_{\text{dry air}}$ the molecular mass of the dry air ($\sim 28.96 \text{ g mol}^{-1}$), m_{H_2O} the molecular mass of the water vapor ($\sim 18 \text{ g mol}^{-1}$), H_2O_{col} the water vapor total column amount (retrieved with a dedicated H₂O retrieval, Schneider, et al., 2010b), and $g(\varphi)$ the latitude dependent surface acceleration due to gravity. The ground pressure was acquired with a Setra System (precision of $\pm 0.3 \text{ hPa}$).

3.3.2 A posteriori corrected total column averaged mole fraction (troXCH4_{post})

Similar to Washenfelder et al. (2003) we calculate the troXCH4_{post} from the CH₄ total column after correcting the variation in both surface pressure and stratospheric contribution:

$$\text{troXCH4}_{\text{post}} = \frac{\text{CH}_4_{\text{col}} - b \cdot \text{HF}_{\text{col}}}{DPC} \quad (3)$$

where CH_4_{col} is the CH₄ total column from the scaling retrieval, HF_{col} is the HF total column, and b is the stratospheric slope equilibrium relationship between the CH₄ and HF columns. The coefficient b is determined by vertically shifting the CH₄ and HF WACCM profiles (for details see Appendix A).

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In Eq. (3) we apply a de-trended HF total column time series retrieved from the FTIR measurements at Izaña. The HF trend and annual cycle was calculated by fitting the following function to the HF daily mean time series:

$$f(t) = a_1 + a_2 t + \sum_{j=1}^2 [d_j \cos(k_j t) + e_j \sin(k_j t)] \quad (4)$$

- where t is the time in days; a_1 is a constant value, a_2 is the parameter of the linear trend and d_j and e_j are the parameters of the annual cycle ($k_j = 2\pi j/T$ with $T = 365.25$ days). Subtracting $a_2 t$ from the HF time series yields the de-trended HF time series. HF is believed to originate in the middle atmosphere solely from the photodissociation of man-made chlorofluorocarbons (CFCs) and hydrochlorofluorocarbons (HCFCs). The de-trending is performed in order to reduce the influence of the chemical variability of HF in the calculations. But it must be said that the whole HF chemical variability cannot be removed by de-trending. Finally, the variable Fluorine partitioning between HF and COF₂ introduces additional uncertainty into the HF post-correction approach.

3.3.3 Directly retrieved tropospheric column averaged volume mole fraction (troXCH₄_{retr})

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The retrieval code PROFFIT is able to perform profile inversion and we can directly retrieve tropospheric CH₄ concentration profiles from the measured spectra. We use the retrieved concentration profiles to obtain a tropospheric column averaged CH₄ mole fraction directly from the measured spectra (troXCH₄_{retr}). Therefore, we average the retrieved CH₄ volume mixing ratios between Izaña ground level and an altitude of 6.5 km. The values retrieved at these altitudes are well sensitive to free tropospheric CH₄ and are not affected by stratospheric CH₄ (see also next Sect. 3.4.2).

3.4 Characteristics of the FTIR CH₄ data

3.4.1 Error estimation

The error calculations presented here apply the error estimation capability incorporated in the PROFFIT retrieval algorithm. This computationally efficient implementation allows performing a reasonably complete estimate of the total error budget for each individual measurement. It is based on the analytic error estimation approach of Rodgers (2000). We assume the uncertainty sources as listed in Table 2. To avoid a too optimistic systematic error budget, both a statistical as well as a systematical contribution is allowed for each error source. We assume that 80 % of the uncertainties 5 are random and 20 % systematic, respectively. Exceptions are the spectroscopic line parameter uncertainty (line strength and pressure broadening), which is assumed to be purely systematic, and the error due to spectral measurement noise, which is assumed 10 to be purely statistical.

The estimated random and systematic errors for the scaling retrieval are listed in Table 3. While the uncertainty in the spectroscopic parameter determines the systematic 15 error the baseline/continuum uncertainty is dominating the random error sources listed in Table 2.

In addition to these parameter errors we have to consider errors caused by the variability in the CH₄ profile shape. Generally the shape of the actual atmospheric CH₄ will 20 differ from the shape of the scaled WACCM CH₄ profile. This gives rise to so-called smoothing error, which can be calculated as $(\mathbf{I} - \mathbf{A})\mathbf{S}_a(\mathbf{I} - \mathbf{A})^T$. Here \mathbf{I} is a unity matrix, \mathbf{A} is the averaging kernel, and \mathbf{S}_a the assumed a priori covariance of atmospheric CH₄. Here we use a \mathbf{S}_a matrix that is obtained from the WACCM simulations. We find that 25 the smoothing error is by far the leading random error and thus determines the precision of totXCH4 produced by the scaling retrieval. When considering the smoothing error we estimate an overall precision of about 0.51 %.

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The estimated random and systematic errors for the profile retrieval are shown in Fig. 3a–c respectively. Figure 3d shows the WACCM a priori CH_4 profile in order to have a reference. We observe that in the troposphere the random errors are dominated by instrumental specific uncertainty sources: the baseline offset uncertainty and the measurement noise. The total estimated random error due to parameter uncertainties is depicted as yellow line in Fig. 3a. It is about 17 ppb (0.9 % with respect to the WCCAM profile) in the lower troposphere and about 10 ppb (0.7 %) in the UT/LS region. In the stratosphere the smoothing error becomes the leading random error component.

Concerning systematic errors spectroscopic parameters are the dominating uncertainty sources. The estimated total systematic error is depicted as yellow line in Fig. 3c. It is about 65 ppb (3.6 %) and 100 ppb (7.1 %) for the lower troposphere and the UT/LS region respectively.

Table 4 collects the total systematic and random errors for our total $X\text{CH}_4$ product (totXCH_4) and the a posteriori calculated tropospheric $X\text{CH}_4$ ($\text{troXCH}_4_{\text{post}}$) as obtained from the scaling retrieval (SR). Furthermore, it shows the errors for the directly retrieved tropospheric $X\text{CH}_4$ ($\text{troXCH}_4_{\text{retr}}$) and totXCH_4 obtained from the profile retrieval (PR). For these calculations we assume the following uncertainties: 0.3 hPa for the surface pressure, 2.7 % for the HF column (Schneider et al., 2005), 1 % for the H_2O column (Schneider et al., 2010a), and 10 % for the b -value.

Theoretically, the scaling retrieval produces total column-averaged CH_4 (totXCH_4) and a posteriori corrected tropospheric column-averaged CH_4 ($\text{troXCH}_4_{\text{post}}$) with a precision of 0.51 % and 0.57 %, respectively (square root of the square sum of the smoothing error, measurement noise and the statistical error). By applying a profiling retrieval we can significantly reduce the smoothing error, which theoretically improves the precision of totXCH_4 to 0.41 %. The directly retrieved tropospheric column-averaged CH_4 ($\text{troXCH}_4_{\text{retr}}$) has an estimated precision of 0.91 %. Please note that the precision estimate for the a posteriori calculated tropospheric $X\text{CH}_4$ ($\text{troXCH}_4_{\text{post}}$) is very likely too optimistic since we assume an uncertainty of the b -value applied in Eq. (3) of only 10 %, whereas the model-deduced HF- CH_4 correlation might be afflicted with a larger

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uncertainty.

3.4.2 Characteristics of the retrieved CH₄ profiles

When retrieving vertical profiles it is important to document the vertical resolution that can be achieved with the remote sensing system. The vertical information contained in the FTIR profile is characterized by the averaging kernel matrix (**A**). This matrix depends on the retrieved parameters, the quality of the measurement (the signal to noise ratio), the spectral resolution, the solar zenith angle, etc. The averaging kernel matrix describes the smoothing of the real vertical distribution of the absorber by the FTIR measurements process. Figure 4 shows the rows of a typical averaging kernel matrix of our CH₄ retrieval. The row kernels indicate the altitude regions that mainly contribute to the retrieved state. The first atmospheric levels (from Izaña ground level up to 6.5 km) are highlighted by red colour showing that for the CH₄ mole fractions retrieved at these altitudes there is no significant contribution from the stratosphere. The respective mixing ratios are well representative for the free troposphere and we calculate our troXCH₄_{retr} as the average of the mole fractions at these altitudes. By the green colour we highlight the row kernel for an altitude of 21 km. We observe that the mole fractions values retrieved at 21 km well reflect the upper troposphere/lower stratosphere (UT/LS) region.

The trace of the averaging kernel matrix can be interpreted as the degree of freedom (DOF) of the measurement. The higher the value the more information is obtained from the measurement. A typical DOF value obtained for our CH₄ retrieval is 2.5.

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4 Empirical validation

4.1 Intercomparison between the Bruker spectrometers IFS 120M and IFS 125HR

The Bruker spectrometers IFS 120M and IFS 125HR were operated side-by-side during March–April of 2005. On 17 days both instruments measured in coincidence and we can use these period for empirically documenting the errors caused by instrument specific random uncertainties. In case of the profile retrieval such instrument specific random uncertainties (baseline offset and measurement noise) dominate the total random error and we can use the side-by-side instrument intercomparison as an empirical validation of the overall precision. Table 5 shows a statistics of the intercomparison of the different CH₄ products obtained from the scaling and the profile retrieval. Concerning the profile retrieval (marked as PR) we find scatter values of 0.3 % for totXCH₄ and 0.5 % for troXCH₄_{retr}, thereby empirically documenting the good precision of this data. Concerning the scaling retrieval (marked as SR) the scatter values are even smaller, however it is important to note that in this case the smoothing error cancels out, since it is very similar for both instruments.

4.2 FTIR versus surface in-situ GAW data

As already mentioned in Sect. 2.1 the in-situ night-time data are well representative of free troposphere background conditions. Therefore, we compare the average of two consecutive in-situ night-time means with the mean of the FTIR data obtained during the enclosed day. We limit this study to the 2001–2010 period, since in 1999–2000 we find an inconsistency in the surface pressure data. Due to this inconsistency we are not able to calculate consistent DPC values for the 1999–2000 period (for more details see Appendix B).

The lower panel of Fig. 5 shows the daily means for totXCH₄ (dark green open triangles for the profiling retrieval, PR, and green solid squares for the scaling retrieval,

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upward shift of the UT/LS region during the summer months: in winter above 18 km the CH₄ concentrations are typically smaller than 1600 ppb, whereas in summer 1600 ppb are still achieved at an altitude of 20 km. Vice versa to CH₄, the HF concentrations are very small in the troposphere and start to increase significantly as function of altitude in

5 the stratosphere (HF is produced in the stratosphere by photolysis of CFCs). Similar to CH₄ in the UT/LS region the total column of HF is a good indicator for the stratospheric contribution. Indeed, we observe a strong anti-correlation between the HF amounts and the CH₄ mixing ratio at 21 km (altitude that is well representative for the UT/LS region, see Sect. 3.4.2). This strong anti-correlation ($R = -0.81$, see Fig. 8) confirms
10 the good quality of the CH₄ concentration retrieved for the UT/LS region.

The lower panel of Fig. 9 depicts the troXCH₄_{retr} time series (red stars) and in black circles the daily means GAW data. The upper panel depicts the respective relative FTIR-GAW difference. We get a mean and scatter of $-0.13\% \pm 0.97\%$ and a correlation coefficient of 0.60. For the monthly mean comparison the correlation further
15 improves (coefficient of 0.69) and the relative FTIR-GAW scatter decreases to 0.69 % (see Tables 6 and 7). The good correlation between the GAW data and the tropospheric FTIR CH₄ concentrations, on the one hand, and the strong anti-correlation between the HF columns and the UT/LS FTIR CH₄ concentrations document the good quality of the retrieved CH₄ profiles. The NDACC FTIR systems allow measuring tropospheric CH₄
20 independently from stratospheric CH₄. Furthermore, the FTIR/GAW scaling factor for troXCH₄_{retr} is very close to unity (it is 0.9987, see Table 6) indicating that the applied CH₄ HITRAN 2008 line strength parameters are in good absolute agreement to the GAW CH₄ experiments. The troXCH₄_{retr} and the GAW datasets are consistent and could be used in a synergetic manner in flux inversion models.

25 Figure 10 shows the troXCH₄_{retr}/ $\langle SF \rangle$ versus CH₄_{GAW} (being $\langle SF \rangle$ the mean scaling factor between troXCH₄_{retr} and CH₄_{GAW}). We observe that the slope of the linear regression line is smaller than unity: the fitted linear function goes from 20 ppb above the diagonal to 20 ppb below the diagonal. This is in agreement with the column sensitivity of the FTIR retrieval being smaller than 1.0 in the lower part of the troposphere (~0.8;

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graphic not shown), i.e. the FTIR system does not capture the whole CH₄ variation. However, Fig. 10 might also suggest that the troXCH_{4,retr} and the GAW datasets are not fully equivalent because the former applies for the tropospheric column, whereas the latter applies only for the lower part of the free troposphere. The CH₄ variability 5 might be larger in the lower part of the free troposphere than in the upper part of the free troposphere.

4.3 Interannual trend

We analyzed the CH₄ interannual trend for the FTIR and surface in-situ values. For estimating the interannual trend we calculate yearly mean data. However, since sampling 10 is not uniform and there might be years with more measurements than usual during a certain season we have to subtract the annual cycle (de-seasonalise the time series). We estimate the annual cycle by fitting the following function to the time series:

$$f(t) = a_1 + a_2 t + \sum_{i=1}^4 [b_i \cos (w_i t) + c_i \sin (w_i t)] + \sum_{j=1}^2 [d_j \cos (k_j t) + e_j \sin (k_j t)] \quad (5)$$

where t is the time in days; a_1 , a_2 , b_i and c_i are the parameters of the interannual 15 trend and d_j and e_j are the parameters of the annual cycle, all of them to be determined; $w_i = 2\pi i / N$ being N equals the number of days in the considered period and $k_j = 2\pi j / T$ with $T = 365.25$ days. The de-seasonalised time series can then be used 20 to calculate the yearly mean time series. The yearly mean time series of CH_{4,GAW} and of the different FTIR products are shown in Fig. 11: in Fig. 11a for totXCH₄ from the scaling retrieval (SR) and the profiling retrieval (PR) and in Fig. 11b for the troXCH₄ products. Beside troXCH_{4,post} and troXCH_{4,retr} we show here troXCH_{4,retr_gbm}, which is 25 the same as troXCH_{4,retr} but applying the spectral microwindows, retrieval settings and line lists recommended by Sussmann et al. (2011). For all datasets we observe that before 2005 the CH₄ concentrations remained stable and after 2005 there has been a continuous CH₄ increase. Although a detailed discussion of this trend is beyond the

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scope of this paper we would like to mention that our results are in excellent agreement with those of Dlugokencky et al. (2009) and Rigby et al. (2008).

In order to assess how the yearly mean time series of the different FTIR products agree with the corresponding GAW time series we calculate the random mean square between the yearly mean GAW data and the yearly mean FTIR data. The results are collected in Table 8. The different FTIR products agree similarly well with the GAW data. The $\text{troXCH}_4_{\text{retr_gbm}}$ results agree slightly poorer.

In Table 9 we collect the change in mean CH_4 VMR between the 2001–2003 and the 2008–2010 period. The GAW concentrations (CH_4_{GAW}) changed by about 20 ppb.

- 10 This change is slightly overestimated by all the FTIR data products. However, this overestimation is not significant. It is within the 1σ uncertainty range. We find that the directly retrieved tropospheric column averaged CH_4 shows the best agreement with the GAW dataset.

4.4 De-trended CH_4 annual cycle

- 15 We compare the annual CH_4 cycles of the GAW data and of the different FTIR CH_4 products. Therefore, we de-trend the CH_4 time series. This de-trending is performed by removing the interannual trends as depicted in Fig. 11. Figure 12 shows the de-trended monthly means calculated for the 2001–2010 period: black circles for the GAW data, green solid squares and dark green open triangles for totXCH4 obtained from the scaling and profiling retrieval, respectively (see Fig. 12a), violet open squares for $\text{troXCH}_4_{\text{post}}$, red stars for $\text{troXCH}_4_{\text{retr}}$, and dark yellow open stars for $\text{troXCH}_4_{\text{retr_gbm}}$. All the annual cycles have been centered to zero.

- 20 We observe that totXCH4 does not reproduce the tropospheric surface in-situ CH_4 variability. It is obvious that totXCH4 is not a good proxy for the tropospheric seasonal CH_4 variability. Instead the totXCH4 annual variability is dominated by the annual variability of the tropopause height, which is lowest by the end of winter and continuously increases during summer. The totXCH4 cycle obtained from the scaling retrieval differs significantly from the totXCH4 cycle obtained from the profile retrieval. This implies

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that the smoothing error – which is very important for a scaling retrieval with fixed first guess profile shape – depends on the season.

Similar to the totXCH₄ cycle the troXCH₄_{post} cycle does not capture the minimum during summer and the maximum in the early winter. Instead it follows more or less the annual cycle of the tropopause altitude. We observe that the a posteriori correction method cannot adequately account for the stratospheric contribution.

On the contrary the troXCH₄_{retr} cycle is in very consistent to the GAW in-situ cycle. The amplitudes and phases of both cycles are very similar thereby confirming that the directly retrieved tropospheric column-averaged XCH₄ values are a very good proxy for the free tropospheric CH₄ concentrations. This is in particularly true when applying our retrieval settings. The retrieval setup suggested by Sussmann et al. (2011) fails to improve the reconstruction of the annual cycle.

5 Outlook and conclusions

In the framework of the NDACC ground-based FTIR experiments have recorded high resolution mid-infrared solar absorption spectra for more than a decade at about 15 globally distributed sites. We examine two different CH₄ retrieval principles: first, a simple scaling of a fixed climatologic profile and second a CH₄ profile retrieval.

A scaling retrieval is indicated if there is no significant variation in the profile shape or if the variations in the profile shape are not reflected in the measured solar absorption spectra (e.g. due to limited spectral resolution or measurement noise). However, our study shows that the high quality NDACC spectra contain significant information about the typical vertical variability of CH₄ converting the smoothing error in the leading error component of the scaling retrieval. We estimate a theoretical precision of the total XCH₄ of 0.51 %. The smoothing error of total XCH₄ can be significantly reduced if performing a profile retrieval leading to an improved precision of 0.41 %. This good precision is empirically confirmed by a side-by-side intercomparison study applying two FTIR instruments in 2005. We document that only the profile retrieval produces

total $X\text{CH}_4$ with high precision and should be used for producing data for scientific applications. We find, for instance, the annual $X\text{CH}_4$ cycle obtained by the scaling retrieval significantly differs from the cycle obtained by the profile retrieval.

While precise total $X\text{CH}_4$ FTIR data are an important reference for the validation of space-base $X\text{CH}_4$ experiments (e.g. SCIAMACHY, GOSAT, OCO-2), the total $X\text{CH}_4$ amounts are significantly affected by the variability of the stratospheric CH_4 contribution. We document that the annual cycle of total $X\text{CH}_4$ rather follows the annual cycle of the tropopause altitude and not the annual cycle of tropospheric CH_4 mole fraction. Our study shows that total $X\text{CH}_4$ is no valid proxy for tropospheric CH_4 .

We investigate two methods for obtaining a tropospheric CH_4 proxy from the FTIR measurements. First, the often applied a posteriori correction method, which applies a CH_4 scaling retrieval and a posteriori corrects the stratospheric CH_4 contribution using HF total column amounts as stratospheric CH_4 proxy. This data set is called $\text{troXCH}_4_{\text{post}}$ throughout the paper. Second, we directly retrieve tropospheric column-averaged $X\text{CH}_4$ amounts from the spectra applying the profile retrieval. This data set is called $\text{troXCH}_4_{\text{retr}}$ throughout paper.

Concerning $\text{troXCH}_4_{\text{post}}$ we estimate a precision of 0.57 %. However, this estimation cannot be empirically confirmed by our comparison to the GAW CH_4 in-situ data (the scatter between CH_4_{GAW} and $\text{troXCH}_4_{\text{post}}$ is as large as 1.29 %). The reason might be an underestimation of the smoothing error, a too optimistic assumption of the uncertainty of the b -value, or a seasonal variability of the Fluorine partitioning. The scientific usefulness of $\text{troXCH}_4_{\text{post}}$ data is rather doubtful. For instance, it does not capture the full amplitude of the tropospheric CH_4 annual cycle.

For $\text{troXCH}_4_{\text{retr}}$ we estimate a theoretical precision of 0.91 %. This value is consistent with the results of the side-by-side FTIR intercomparison study of 2005 and it is well confirmed by the comparison to the GAW CH_4 in-situ data (we obtain a scatter between CH_4_{GAW} and $\text{troXCH}_4_{\text{retr}}$ of 0.97 %). Furthermore, we found that the FTIR/GAW scaling factor is very close to unity, suggesting that the NDACC FTIR network can provide tropospheric column-averaged CH_4 that is very consistent to the CH_4 data

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of the GAW in-situ network. The annual cycle of $\text{troXCH}_4_{\text{retr}}$ and CH_4_{GAW} are very similar (phase and amplitude). For investigating the CH_4 interchange between atmosphere, biosphere, and ocean, we strongly recommend using the directly retrieved tropospheric $X\text{CH}_4$ instead of the tropospheric $X\text{CH}_4$ produced by the a posteriori correction method.

Due to its long-term characteristics the NDACC tropospheric $X\text{CH}_4$ data set can make valuable contributions when investigating sources and sinks of CH_4 . In the future we will examine CH_4 profile retrievals in the near infrared spectral region, which is recorded by the TCCON experiments. We will examine the practicability and benefits of a profile retrieval for obtaining highly precise total column-averaged $X\text{CH}_4$ amounts from TCCON spectra. Furthermore, we will use the Izaña GAW CH_4 in-situ data set for documenting the precision of possible TCCON tropospheric column-averaged CH_4 data and its level of consistency to the GAW CH_4 in-situ data.

Appendix A

15

Using HF column amounts as proxy for the tropopause altitude

The black solid lines in Fig. A1 show the climatology of modeled mixing ratio profiles (left panel for CH_4 and right panel for HF). We now want to estimate how a vertical shift of the profiles affects the total column amounts of the two trace gases. Therefore, 20 we shift the two profiles between -5 km and $+5 \text{ km}$ and calculate the respective total column amounts.

Figure A2 shows the total column amounts of HF for the different shifts versus the respective amounts of CH_4 . As expected we see a clear anti-correlation: for a positive shift (upward shift) CH_4 column amounts increase and HF column amounts decrease. 25 For a negative shift (downward shift) it is vice versa. Due to this strong anti-correlation we can use the HF column amounts as a proxy for the changes in the CH_4 column

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amounts caused by changes of the tropopause altitude. We find a slope of the fitted regression line of -554 (linear least squares fit). This slope value is applied as b -value in Eq. (3).

Appendix B

5

Surface pressure measurements at Izaña observatory

The 1999–2010 surface pressure measurements time series presents a jump at the beginning of 2001. The reason is that before and after 2001 two different types of pressure sensor have been applied: until 2001 a Thyas sensor ($\sim \pm 1$ hPa) and since 10 2001 a Setra sensor (± 0.3 hPa). Furthermore, the sensors were located at different positions and altitudes.

Figure B1 shows the time series of the daily mean pressure values acquired from both sensors at Izaña station. This jump will propagate into the totXCH_4 and $\text{troXCH}_4_{\text{post}}$ with about 7 ppb and 8 ppb, respectively. Therefore, we decided to present 15 CH_4 time series only from 2001 onward.

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Table 1. Spectral MicroWindows (MW) chosen for the CH₄ retrieval shown in this study.

Spectral MicroWindows (cm ⁻¹)	
MW1	2613.7000–2615.4000
MW2	2650.6000–2651.3000
MW3	2835.5000–2835.8000
MW4	2903.6000–2904.0250

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Table 2. Assumed random and systematic uncertainties. It is assumed that 80 % of the values listed in the table below correspond to random uncertainties and 20 % to systematic uncertainties (except for spectroscopy that is assumed to be 100 % systematic).

Source	Uncertainty
Baseline/continuum (offset and channeling)	0.1 %
Instrumental lines shape (modulation efficiency and phase error)	1 % and 0.01 rad
Line of sight	0.001 rad
Solar lines (intensity and spectral scale)	1 % and 1.0E-6
Temperature	1.0 K (trop)/2.0 K (strat)
Spectroscopy (intensity strength and pressure broadening – γair)	2 % and 5 %

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Table 3. Errors for each parameter for the scaling retrieval for CH₄ total column.

	Statistic error (%)	Systematic error (%)
Baseline/continuum	0.23	6E-3
Instrumental lines shape	0.03	7E-3
Line of sight	0.06	7E-3
Solar lines	0.02	4E-3
Temperature	0.11	0.03
Measurement noise	0.08	
Smoothing error	0.43	
Spectroscopy		3.59
Total error	0.51	3.59

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Table 4. Total errors estimated for typical measurement conditions (16 June 2010).

	Typical value	Smoothing error		Statistic error		Systematic error	
		ppb	%	ppb	%	ppb	%
SR	totXCH4	1743	0.43	7.50	0.27	4.71	3.50
SR*	troXCH4 _{post}	1790	0.43	7.70	0.37	6.62	3.41
PR	totXCH4	1743	0.06	1.05	0.41	7.15	2.23
PR	troXCH4 _{retr}	1812	0.20	3.62	0.89	15.95	3.26
							61.01
							61.11
							38.87
							59.07

SR: Scale retrieval; PR: profile retrieval; SR*: applying HF correction using the CH₄ total column from SR.[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[|◀](#)[▶|](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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Table 5. Statistics of the daily mean comparisons between the side-by-side measuring instruments 120M and 125HR.

		<i>N</i>	<i>R</i>	MRD (%)	STD (%)	SF ± SEM
SR	totXCH ₄	17	0.91	-0.17	0.28	0.9983 ± 0.0014
SR*	troXCH ₄ _{post}	17	0.73	-0.14	0.27	0.9986 ± 0.0014
PR	totXCH ₄	17	0.73	-0.10	0.30	0.9990 ± 0.0015
PR	troXCH ₄ _{retr}	17	0.83	0.06	0.51	1.0006 ± 0.0025

N: number of data points; *R*: correlation coefficient; MRD: mean relative difference (120M–125HR)/125HR; STD: standard Deviation; SF: scaling factor (120M/125HR); SEM: standard error of the mean of the scaling factor = 2 × STD/sqrt(*N*); SR: Scale Retrieval; PR: Profile Retrieval; SR*: applying HF correction using the CH₄ total column from SR.

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Table 6. Statistics of the daily mean comparisons between the FTIR products (totXCH₄, troXCH₄_{post}, and troXCH₄_{retr}) and the GAW data for the period 2001–2010.

	FTIR product	<i>N</i>	<i>R</i>	MRD (%)	STD (%)	SF ± SEM
SR	totXCH ₄	709	0.09	-4.69	1.42	0.9531 ± 0.0011
SR*	troXCH ₄ _{post}	709	0.18	-2.69	1.29	0.9731 ± 0.0010
PR	totXCH ₄	709	0.39	-3.90	1.06	0.9610 ± 0.0008
PR	troXCH ₄ _{retr}	709	0.60	-0.13	0.97	0.9987 ± 0.0007

N: number of data points; *R*: correlation coefficient; MRD: mean relative difference (120M–125HR)/125HR; STD: standard Deviation; SF: scaling factor (120M/125HR); SEM: standard error of the mean of the scaling factor = $2 \times \text{STD}/\sqrt{N}$; SR: Scale Retrieval; PR: Profile Retrieval; SR*: applying HF correction using the CH₄ total column from SR.

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Table 7. Same as Table 6 but for monthly means.

	FTIR product	N	R	MRD (%)	STD (%)	SF ± SEM
SR	totXCH ₄	98	0.09	-5.05	1.28	0.9495 ± 0.0026
SR*	troXCH ₄ _{post}	98	0.16	-3.03	1.16	0.9697 ± 0.0024
PR	totXCH ₄	98	0.40	-4.17	0.92	0.9583 ± 0.0019
PR	troXCH ₄ _{retr}	98	0.69	-0.32	0.69	0.9968 ± 0.0014

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Table 8. Root Mean Square (RMS) between the annual means (2001–2010 period) of GAW and the FTIR data (see Fig. 10).

a	$\text{CH}_{4_{\text{GAW}}} - a$				
	totXCH4 (SR)	totXCH4 (PR)	troXCH4 _{post} (SR*)	troXCH4 _{retr} (PR)	troXCH4 _{retr_gbm} (PR)
RMS [ppb]	5.15	4.52	4.72	4.81	7.51

SR: Scale retrieval; PR: profile retrieval; SR*: applying HF correction using the CH₄ total column from SR.

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Table 9. Difference between the mean CH₄ VMR in 2001–2003 and in 2008–2010 with its associated 1 σ uncertainty.

dataset	CH ₄ _{GAW}	totXCH ₄ (SR)	totXCH ₄ (PR)	troXCH ₄ _{post} (SR*)	troXCH ₄ _{retr} (PR)	troXCH ₄ _{retr_gbm} (PR)
Difference	19.65	26.46	25.61	26.98	22.79	26.79
[ppb]	± 5.00	± 9.19	± 4.74	± 8.05	± 5.12	± 4.91

SR: Scale retrieval; PR: profile retrieval; SR*: applying HF correction using the CH₄ total column from SR.[Title Page](#)[Abstract](#)[Introduction](#)[Conclusions](#)[References](#)[Tables](#)[Figures](#)[|◀](#)[▶|](#)[◀](#)[▶](#)[Back](#)[Close](#)[Full Screen / Esc](#)[Printer-friendly Version](#)[Interactive Discussion](#)

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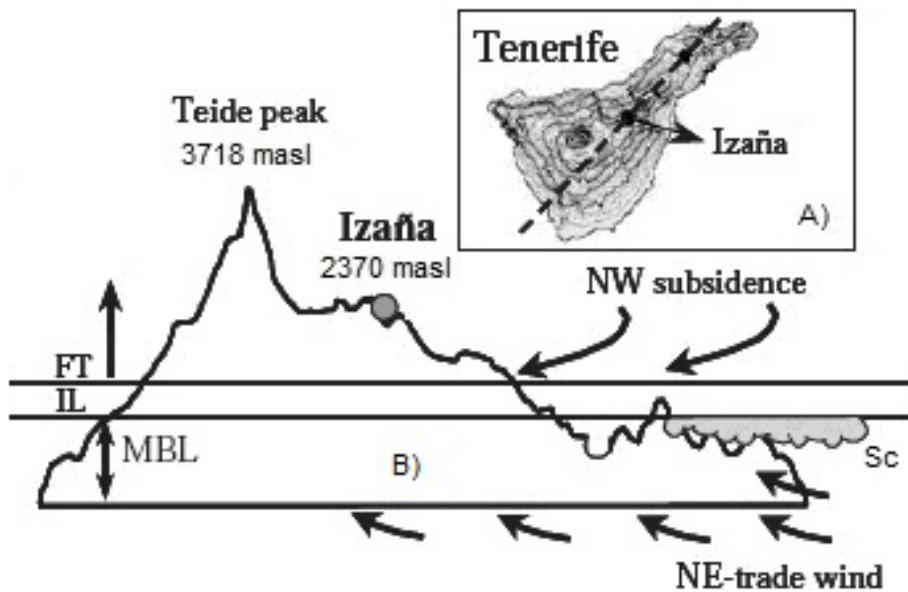


Fig. 1. (A) Location of Izaña in Tenerife Island, (B) transect of Tenerife Island – along the dotted line in (A) – showing the vertical stratification: MBL: marine boundary layer, IL: inversion layer, FT: free troposphere, Sc: stratocumulus.

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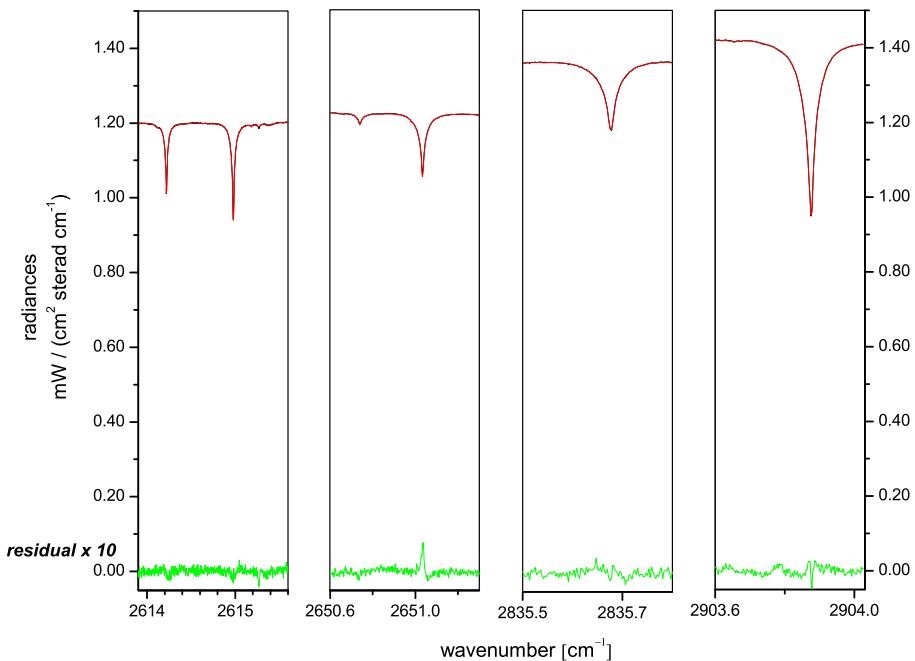


Fig. 2. The four applied spectral microwindows: measured spectrum (black), simulated spectrum (red), and residuals multiplied by a factor of 10 (green).

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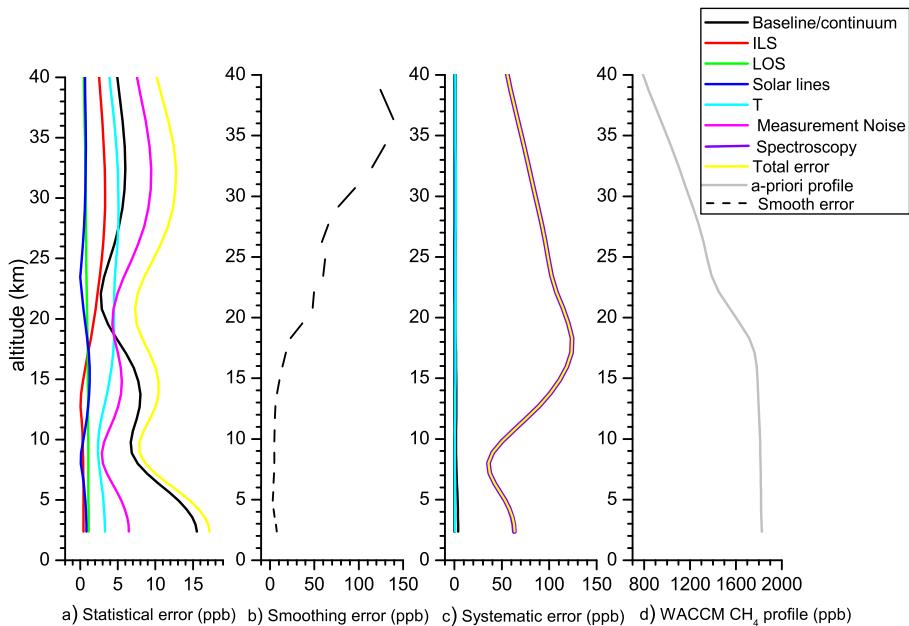


Fig. 3. Estimated errors for the profiling retrieval (PR): **(a)** statistical (random) errors of parameters listed in Table 2, **(b)** smoothing error, **(c)** systematic errors, and **(d)** climatologic CH₄ profile simulated by the WACCM model that is used as the a-priori profile. The different colours are for the different uncertainty sources as explained in the legend. The yellow line represents the total errors and the grey line is the WACCM profile.

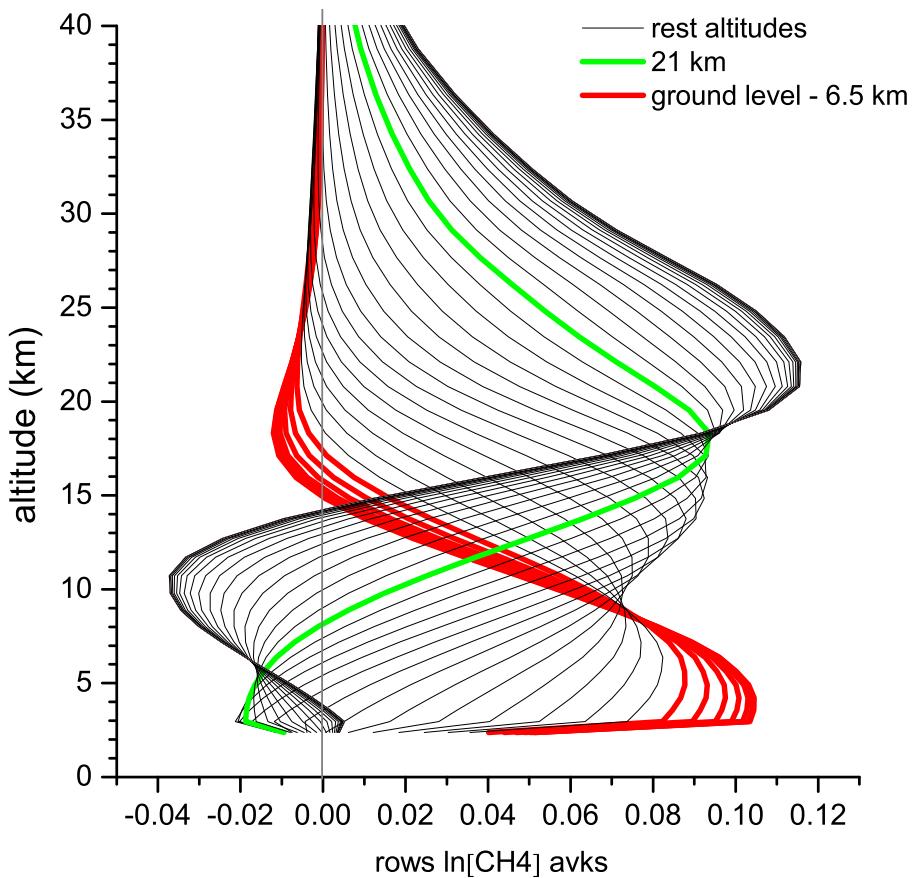


Fig. 4. Typical row averaging kernels for profiling retrieval (PR): red lines show the kernels between Izaña ground level and 6.5 km while the green line shows the kernel corresponding to an altitude of 21 km.

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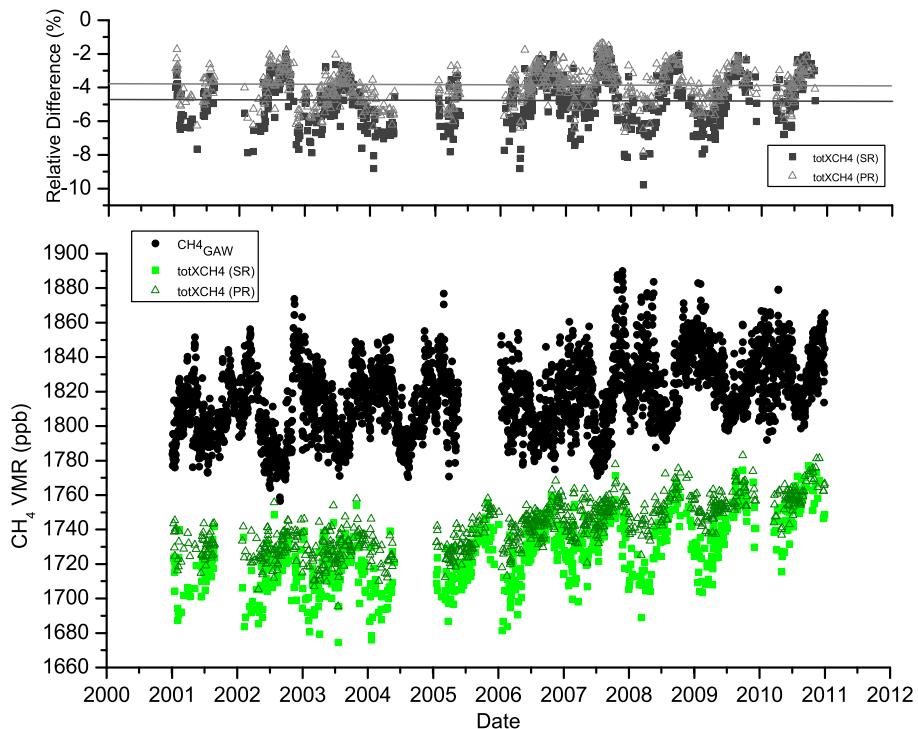


Fig. 5. CH_4_{GAW} in-situ (black circles) and totXCH4 FTIR data obtained from scaling (squares) and profiling (triangles) retrieval, respectively. Bottom panel: 2001–2010 time series for all available data; top panel: time series of the difference expressed as (FTIR-GAW)/GAW for the scaling (squares) and profiling (triangles) retrieval, respectively. The solid lines represent the mean relative difference for the scaling and profiling retrieval, respectively.

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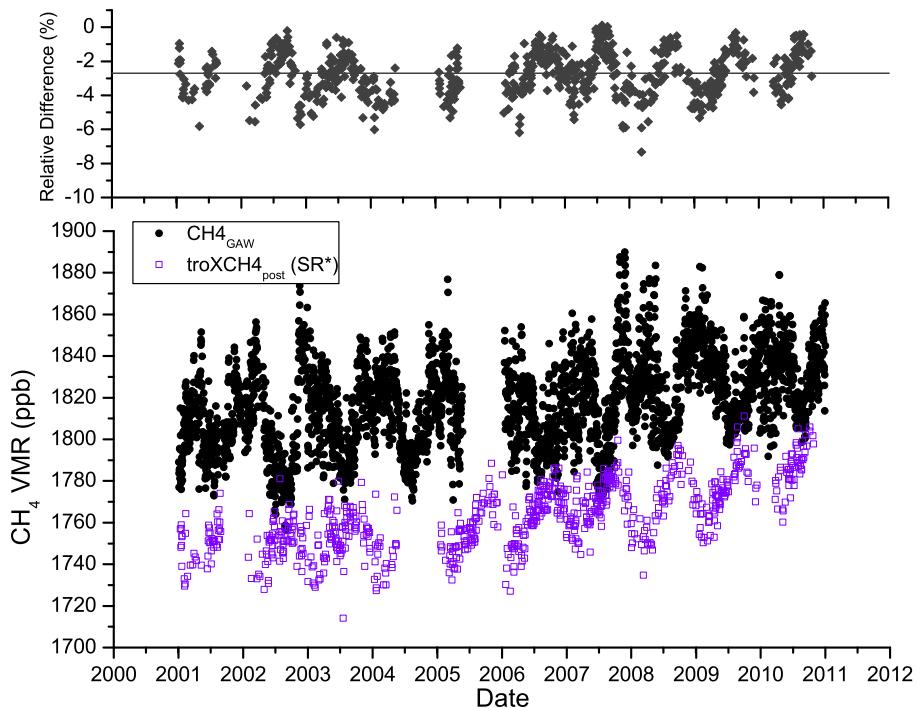


Fig. 6. Same as Fig. 5, but for the a posteriori corrected tropospheric $X\text{CH}_4$ calculated from the total CH_4 column obtained from the scaling retrieval and applying the HF correction ($\text{troXCH}_4_{\text{post}}$, violet empty squares).

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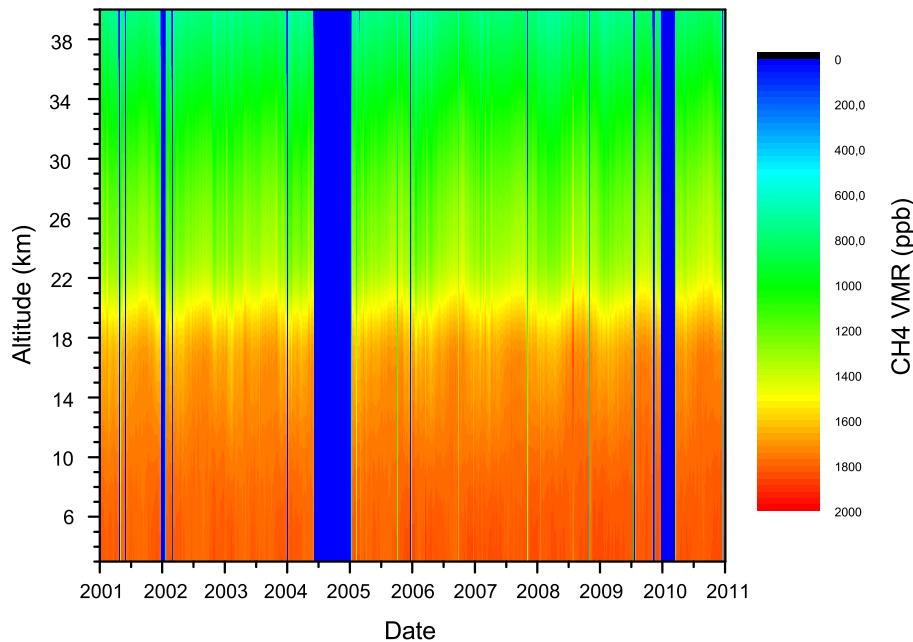


Fig. 7. Retrieved CH_4 profile time series for the period 2001–2010.

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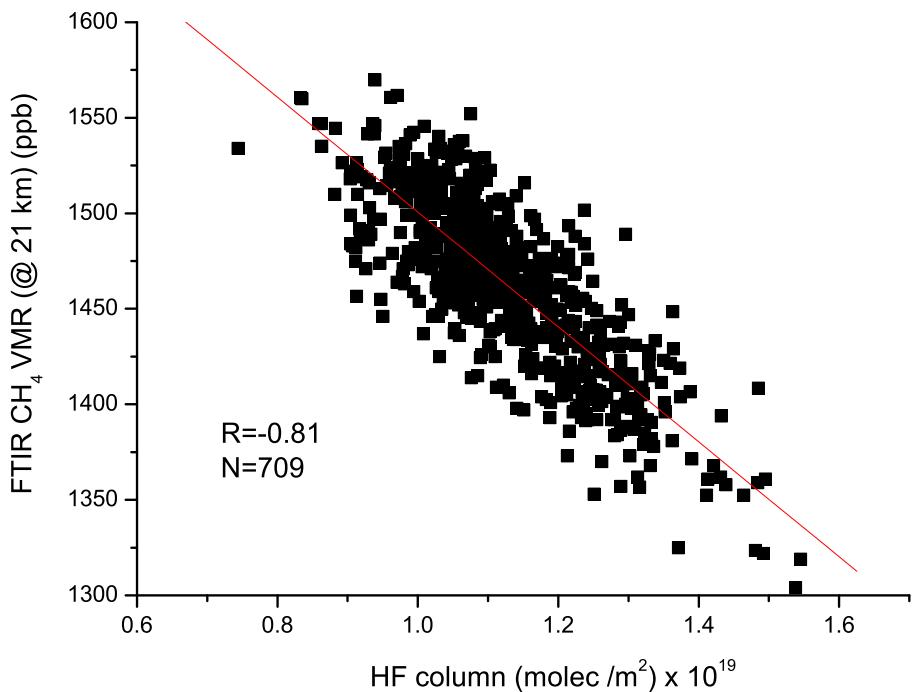


Fig. 8. Correlation plot of the retrieved total HF column versus CH_4 VMR in the UT/LS region (at 21 km). The red line shows the linear regression line.

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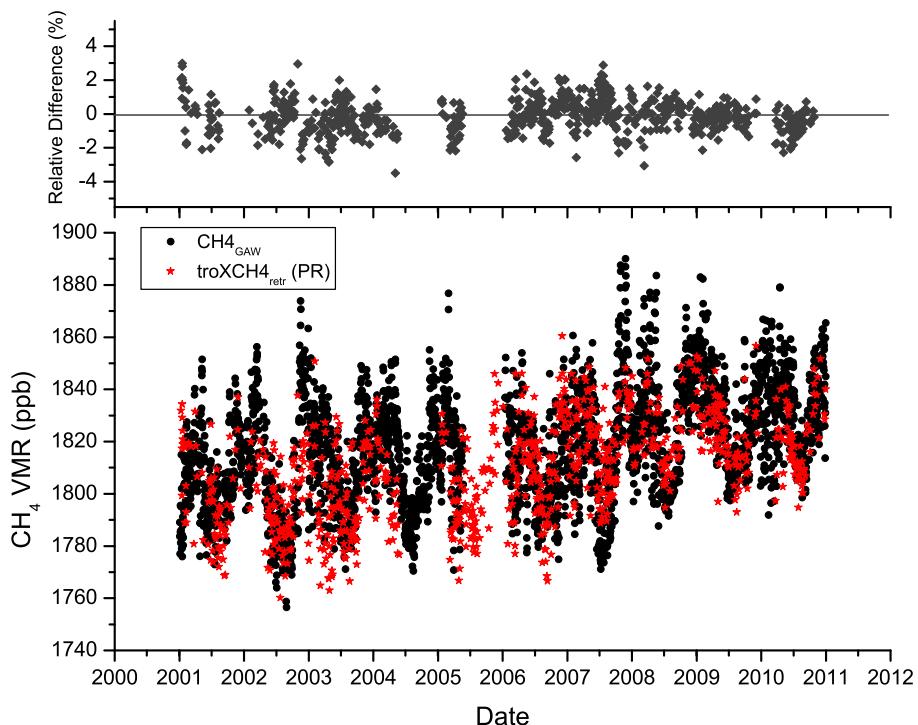


Fig. 9. Same as Fig. 5, but for the directly retrieved tropospheric $X\text{CH}_4$ ($\text{troXCH}_4_{\text{retr}}$, red stars) from the profile retrieval.

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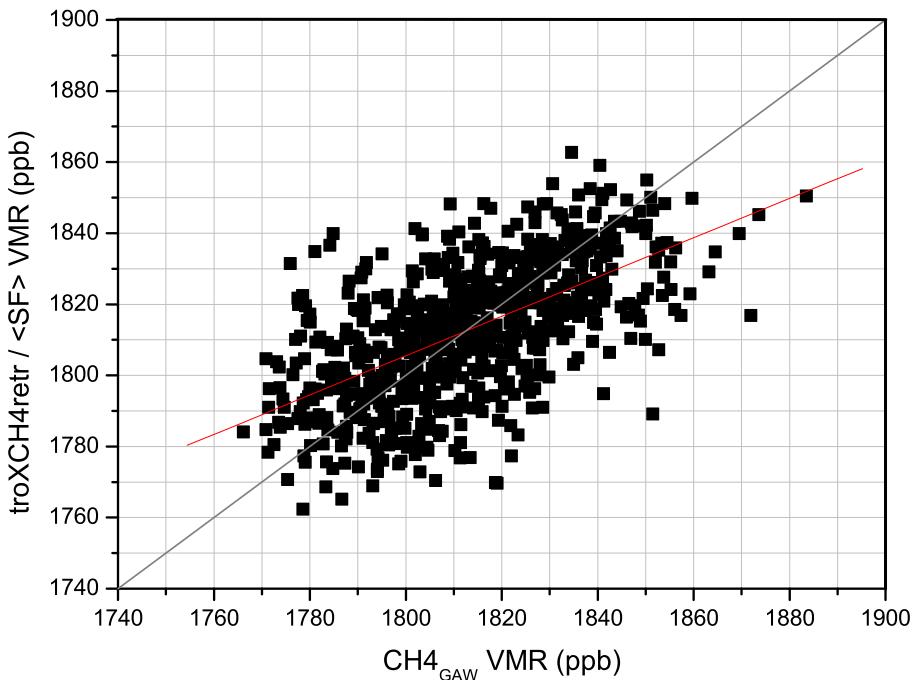


Fig. 10. CH_4_{GAW} in-situ versus $\text{troXCH}_4_{\text{retr}}/\langle \text{SF} \rangle$ correlation plot. $\langle \text{SF} \rangle$ is the mean scaling factor between both quantities. The red line shows the linear regression line while the grey one shows the diagonal as a reference.

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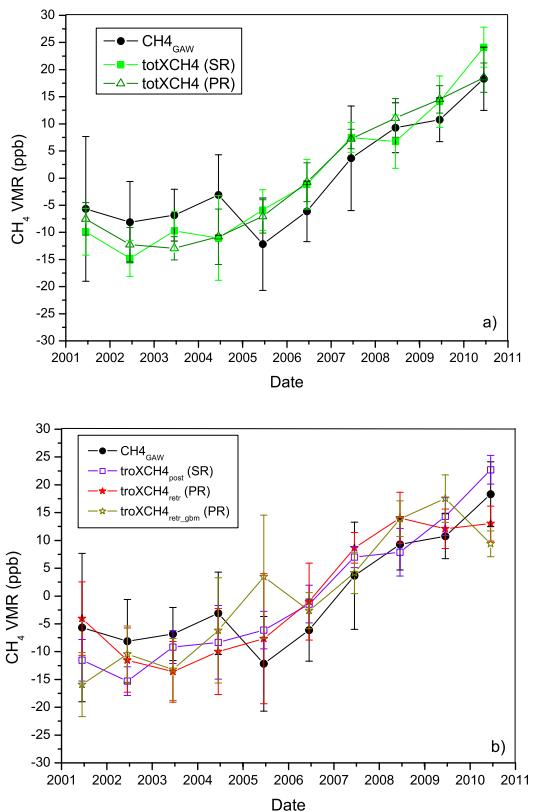


Fig. 11. Annual mean for the CH_4_{GAW} in-situ (black dots) and the different FTIR products considering coincident data and centered at zero. **(a)** total $X\text{CH}_4$ products: green squares for totXCH4 from SR, and green dark open triangles for totXCH4 from PR; **(b)** tropospheric $X\text{CH}_4$ products: violet open squares for troXCH4_{post}, red stars for troXCH4_{retr}, and open dark yellow stars for troXCH4_{retr_qbm}. The error bars correspond to the standard error of the mean [2 × std/sqrt(N)].

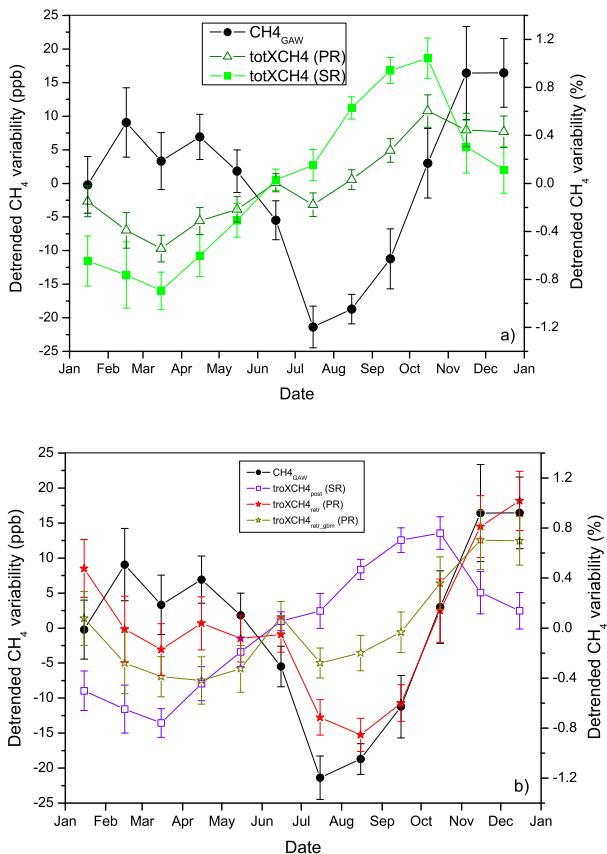


Fig. 12. The multi-annual mean annual cycles derived for data of the 2001–2010 period for the different CH₄_{GAW} (black dots) and the different FTIR products: **(a)** total XCH₄ products; green squares for SR and green dark open triangles for PR; **(b)** tropospheric XCH₄ products: violet open squares for troXCH₄_{post}, red stars for troXCH₄_{retr} and open dark yellow stars for troXCH₄_{retr_qbm}. The error bars correspond to the standard error of the mean [2 × std/sqrt(N)].

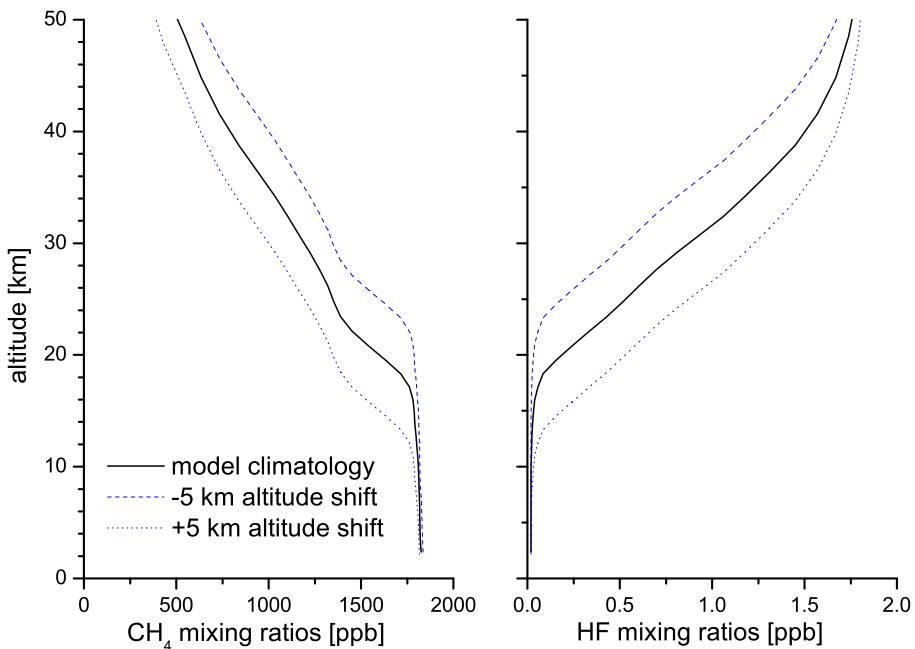


Fig. A1. Modeled CH₄ (left panel) and HF (right panel) mixing ratio profiles. Black solid lines: original model results; blue dashed and dotted lines: profiles shifted vertically by +5 km and -5 km respectively.

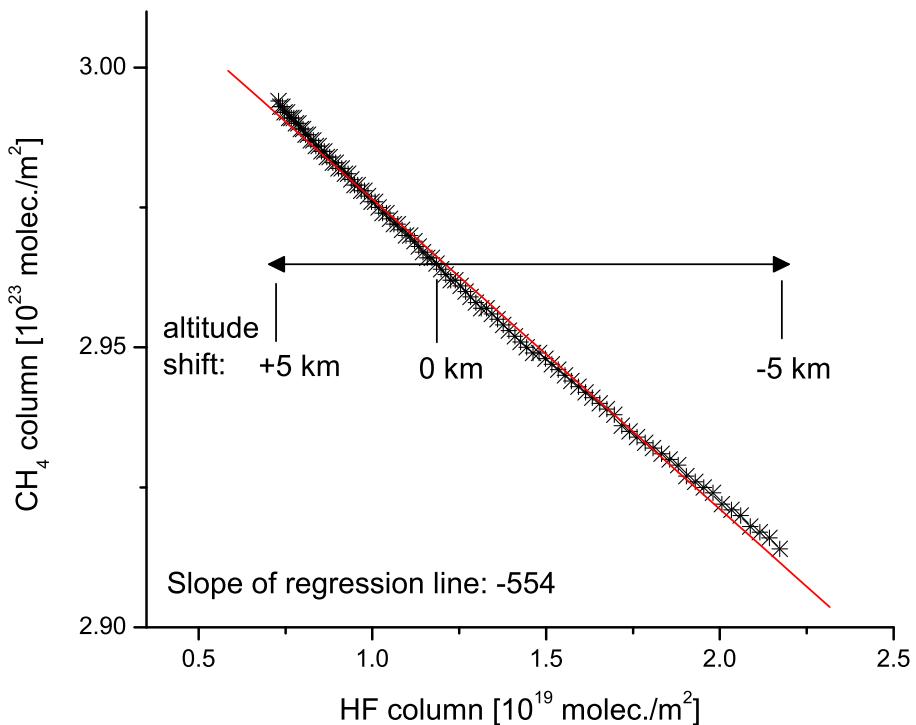


Fig. A2. Correlation plot between the CH_4 and HF total column amounts obtained for different vertical shifts of the modeled CH_4 and HF profiles of Fig. A1.

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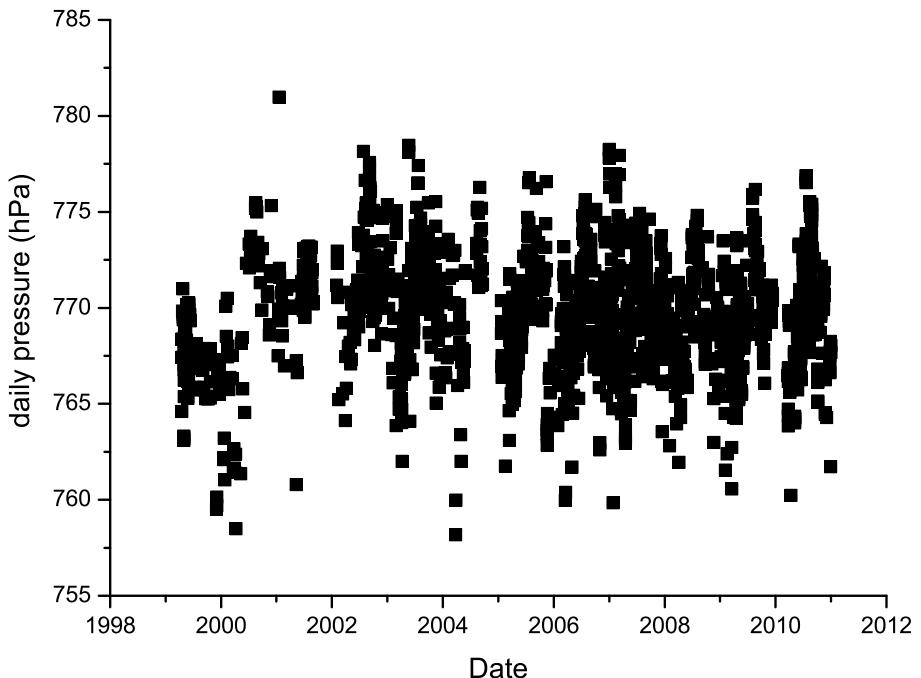


Fig. B1. Time series of the daily mean pressure at Izaña ground level.

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